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**Subsidiary Body for Implementation****Report of the Subsidiary Body for Implementation on its  
thirty-sixth session, held in Bonn from 14 to 25 May 2012**

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## **Addendum – FCCC/SBI/2012/15/Add.1**

### **Draft decisions forwarded for adoption by the Conference of the Parties and the Conference of the Parties serving as the meeting of the Parties to the Kyoto Protocol**

Draft decision -/CP.18. Status of submission and review of fifth national communications from Parties included in Annex I to the Convention and compilation and synthesis of fifth national communications from Parties included in Annex I to the Convention

Draft decision -/CMP.8. Supplementary information incorporated in national communications from Parties included in Annex I to the Convention that are also Parties to the Kyoto Protocol and submitted in accordance with Article 7, paragraph 2, of the Kyoto Protocol

Draft decision -/CP.18. Capacity-building under the Convention for countries with economies in transition

Draft decision -/CMP.8. Capacity-building under the Kyoto Protocol for countries with economies in transition

Draft decision -/CMP.8. Methodology for the collection of international transaction log fees in the biennium 2014–2015

## **Addendum – FCCC/SBI/2012/15/Add.2**

### **Draft texts under consideration by the thirty-seventh session of the Subsidiary Body for Implementation**

#### **Draft text under consideration by the Conference of the Parties serving as the meeting of the Parties to the Kyoto Protocol**

Work of the Consultative Group of Experts on National Communications from Parties not included in Annex I to the Convention

Composition, modalities and procedures of the team of technical experts under international consultations and analysis

National adaptation plans

Elements for a draft decision on development and transfer of technologies

Doha work programme on Article 6 of the Convention

Appeals mechanism

Review of the design of the commitment period reserve

Privileges and immunities for individuals serving on constituted bodies established under the Kyoto Protocol

## **I. Opening of the session**

(Agenda item 1)

1. The thirty-sixth session of the Subsidiary Body for Implementation (SBI) was held at the Maritim Hotel, Bonn, Germany, from 14 to 25 May 2012.
2. The Chair of the SBI, Mr. Tomasz Chruszczow (Poland), opened the session and welcomed all Parties and observers. He also welcomed Mr. Samuel Ortiz Basualdo (Argentina) as Vice-Chair of the SBI and Mr. Petrus Muteyauli (Namibia) as Rapporteur.

## **II. Organizational matters**

(Agenda item 2)

### **A. Adoption of the agenda**

(Agenda item 2(a))

3. At its 1<sup>st</sup> meeting, on 14 May, the SBI considered a note by the Executive Secretary containing the provisional agenda and annotations (FCCC/SBI/2012/1). Representatives of 11 Parties made statements, including one on behalf of the Alliance of Small Island States (AOSIS) and one on behalf of the European Union and its member States. At the same meeting, the SBI adopted the agenda as follows, with sub-item 4(b) held in abeyance:

1. Opening of the session.
2. Organizational matters:
  - (a) Adoption of the agenda;
  - (b) Organization of the work of the session.
  - (c) Election of officers other than the Chair;
  - (d) Election of replacement officers.
3. National communications from Parties included in Annex I to the Convention:
  - (a) Status of submission and review of fifth national communications from Parties included in Annex I to the Convention;
  - (b) Compilation and synthesis of fifth national communications from Parties included in Annex I to the Convention;
  - (c) Compilation and synthesis of supplementary information incorporated in fifth national communications from Parties included in Annex I to the Convention that are also Parties to the Kyoto Protocol and submitted in accordance with Article 7, paragraph 2, of the Kyoto Protocol;
  - (d) Further implementation of Article 12, paragraph 5, of the Convention.
4. National communications from Parties not included in Annex I to the Convention:

- (a) Work of the Consultative Group of Experts on National Communications from Parties not included in Annex I to the Convention;
  - (b) Information contained in national communications from Parties not included in Annex I to the Convention (*agenda item held in abeyance*);<sup>1</sup>
  - (c) Further implementation of Article 12, paragraph 5, of the Convention;
  - (d) Provision of financial and technical support.
5. Nationally appropriate mitigation actions by developing country Parties:
- (a) Prototype of the registry;
  - (b) Composition, modalities and procedures of the team of technical experts under international consultations and analysis.
6. Matters relating to finance:
- (a) Initial review of the Adaptation Fund under the Kyoto Protocol;
  - (b) Other matters.
7. Matters relating to Article 4, paragraphs 8 and 9, of the Convention:
- (a) Progress on the implementation of decision 1/CP.10;
  - (b) Matters relating to the least developed countries.
8. National adaptation plans:<sup>2</sup>
- (a) A process to enable least developed country Parties to formulate and implement national adaptation plans, building upon their experience in preparing and implementing national adaptation programmes of action;<sup>3</sup>
  - (b) Modalities and guidelines for least developed country Parties and other developing country Parties to employ the modalities formulated to support national adaptation plans.<sup>4</sup>
9. Approaches to address loss and damage associated with climate change impacts in developing countries that are particularly vulnerable to the adverse effects of climate change to enhance adaptive capacity<sup>5</sup>
- Activities to be undertaken under the work programme.
10. Matters relating to Article 3, paragraph 14, of the Kyoto Protocol.
11. Forum and work programme on the impact of the implementation of response measures.
12. Development and transfer of technologies:
- (a) Report of the Technology Executive Committee;

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<sup>1</sup> As there was no consensus to include this item on the agenda, it was held in abeyance. On a proposal by the Chair, the SBI decided to include this item on the provisional agenda for its thirty-seventh session.

<sup>2</sup> Decision 1/CP.16, paragraphs 15–18.

<sup>3</sup> Decision 1/CP.16, paragraphs 15, 17 and 18.

<sup>4</sup> Decision 1/CP.16, paragraphs 15–18.

<sup>5</sup> Decision 1/CP.16, paragraphs 26–29.

- (b) Matters relating to the Climate Technology Centre and Network: selection of the host and constitution of the advisory board;
  - (c) Poznan strategic programme on technology transfer.
13. Article 6 of the Convention.
  14. Capacity-building:<sup>6</sup>
    - (a) Capacity-building under the Convention;
    - (b) Capacity-building under the Kyoto Protocol.
  15. Amendment of the Kyoto Protocol in respect of procedures and mechanisms relating to compliance.
  16. Procedures, mechanisms and institutional arrangements for appeals against the decisions of the Executive Board of the clean development mechanism
  17. Review of the design of the commitment period reserve.
  18. Arrangements for intergovernmental meetings.
  19. Administrative, financial and institutional matters:
    - (a) Budget performance for the biennium 2012–2013;
    - (b) Continuing review of the functions and operations of the secretariat;
    - (c) Implementation of the Headquarters Agreement;
    - (d) Methodology for the collection of international transaction log fees in the biennium 2014–2015;
    - (e) Privileges and immunities for individuals serving on constituted bodies established under the Kyoto Protocol;
    - (f) Policy and budgetary implications of funding the travel and participation in meetings of the constituted bodies of all members and alternate members.
  20. Other matters.
  21. Report on the session.

4. Also at the 1<sup>st</sup> meeting, after the adoption of the agenda, representatives of 12 Parties made general statements, including on behalf of the African States, AOSIS, the Central American Integration System, the Coalition for Rainforest Nations, the Environmental Integrity Group (EIG), the European Union and its member States, the Group of 77 and China, the least developed countries (LDCs) and the Umbrella Group. In addition, a statement was made on behalf of the youth non-governmental organizations (NGOs).

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<sup>6</sup> The COP, by decision 2/CP.17, requested the SBI to further enhance the monitoring and review of the effectiveness of capacity-building by organizing an annual in-session Durban Forum on Capacity-building. It also requested the SBI to organize the first meeting of the Durban Forum during its thirty-sixth session. The summary report of the Durban Forum will be considered by the SBI at its thirty-seventh session.

**B. Organization of the work of the session**

(Agenda item 2(b))

5. The SBI considered this sub-item at its 1<sup>st</sup> meeting, at which the Chair drew attention to the proposed programme of work posted on the UNFCCC website. On a proposal by the Chair, the SBI agreed to proceed on the basis of that programme of work.

**C. Election of officers other than the Chair**

(Agenda item 2(c))

6. The SBI considered this sub-item at its 1<sup>st</sup> meeting and at its 3<sup>rd</sup> meeting, held on 25 May.

7. At the 3<sup>rd</sup> meeting, the Chair informed Parties that nominations for the post of Vice-Chair had not been received. The current Vice-Chair of the SBI, Mr. Basualdo, will continue to serve in office until his successor is elected in accordance with rule 22, paragraph 2, of the draft rules of procedure being applied.

**D. Election of replacement officers**

(Agenda item 2(d))

8. No election of replacement officers was held.

**III. National communications from Parties included in Annex I to the Convention**

(Agenda item 3)

**A. Status of submission and review of fifth national communications from Parties included in Annex I to the Convention**

(Agenda item 3(a))

**Compilation and synthesis of fifth national communications from Parties included in Annex I to the Convention**

(Agenda item 3(b))

**1. Proceedings**

9. The SBI considered these sub-items together at its 1<sup>st</sup> and 3<sup>rd</sup> meetings. It had before it documents FCCC/SBI/2011/INF.1 and Add.1 and 2 and FCCC/SBI/2012/INF.6. Representatives of two Parties made statements.

10. At its 1<sup>st</sup> meeting, the SBI agreed to consider these sub-items in informal consultations facilitated by Ms. Julia Martinez (Mexico) and Mr. Kiyoto Tanabe (Japan).

11. At the 3<sup>rd</sup> meeting, Ms. Martinez reported on the consultations and the SBI considered and adopted the conclusions below.<sup>7</sup>

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<sup>7</sup> Adopted as document FCCC/SBI/2012/L.16.



## 2. Conclusions

12. The SBI took note of the status of submission and review of fifth national communications contained in document FCCC/SBI/2012/INF.6.

13. The SBI acknowledged the considerable improvement in the timeliness of the submission of national communications from Parties included in Annex I to the Convention (Annex I Parties). Sixteen Annex I Parties submitted their fifth national communications before the due date in accordance with decision 10/CP.13, 24 submitted after that date and one Party has yet to submit its fifth national communication.

14. The SBI urged Annex I Parties to submit their national communications by the relevant due date in the future.

15. The SBI noted that as at 30 April 2012 the secretariat had coordinated in-depth reviews of all the national communications submitted.

16. The SBI welcomed the compilation and synthesis of the fifth national communications from Annex I Parties prepared by the secretariat and took note thereof.<sup>8</sup>

17. The SBI noted that Annex I Parties, as reported in their fifth national communications, are continuing to make progress in implementing their commitments under the Convention and calls on Annex I Parties to continue to intensify their efforts aimed at meeting their commitments under the Convention. The coverage of their respective domestic activities and the provision of the essential information on the implementation of the Convention at the international level have improved in the fifth national communications when compared with the preceding national communications. Despite these improvements, some reporting issues and problems remain, and the usefulness of the reported information could be enhanced by addressing these matters. Such issues include the need for reporting on the following: all elements (not only mandatory elements) of the “Guidelines for the preparation of national communications by Parties included in Annex I to the Convention, Part II: UNFCCC reporting guidelines on national communications” (hereinafter referred to as the UNFCCC reporting guidelines, Part II);<sup>9</sup> emission scenarios and estimates of the impact of policies and measures; and financial assistance and technology transfer, using approaches that are fully consistent with the UNFCCC reporting guidelines, Part II.

18. The SBI called on Annex I Parties to enhance further, where appropriate, the completeness, comparability and level of detail of the reported information in accordance with the UNFCCC reporting guidelines, Part II.

19. The SBI noted that over the period 1990–2008 the total aggregate greenhouse gas (GHG) emissions excluding emissions/removals from land use, land-use change and forestry (LULUCF) for all Annex I Parties decreased by 6.0 per cent, and the total GHG emissions/removals including LULUCF decreased by 10.7 per cent. For Annex I Parties with economies in transition, GHG emissions excluding and including LULUCF decreased by 36.7 per cent and 49.7 per cent, respectively. For Annex I Parties that do not have economies in transition, GHG emissions excluding and including LULUCF increased by 8.0 per cent and 8.4 per cent, respectively.

20. The SBI decided to recommend a draft decision on the status of submission and review of fifth national communications from Annex I Parties and the compilation and synthesis of fifth national communications from Annex I Parties for adoption by the Conference of the Parties (COP) at its eighteenth session (for the final text of the draft decision, see document FCCC/SBI/2012/15/Add.1).

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<sup>8</sup> FCCC/SBI/2011/INF.1 and Add.1 and 2.

<sup>9</sup> FCCC/CP/1999/7.

**B. Compilation and synthesis of supplementary information incorporated in fifth national communications from Parties included in Annex I to the Convention that are also Parties to the Kyoto Protocol and submitted in accordance with Article 7, paragraph 2, of the Kyoto Protocol**

(Agenda item 3(c))

**1. Proceedings**

21. The SBI considered this sub-item at its 1<sup>st</sup> and 3<sup>rd</sup> meetings. It had before it document FCCC/SBI/2011/INF.2.

22. At its 1<sup>st</sup> meeting, the SBI agreed to consider this sub-item in informal consultations facilitated by Ms. Martinez and Mr. Tanabe.

23. At the 3<sup>rd</sup> meeting, Ms. Martinez reported on the consultations and the SBI considered and adopted the conclusions below.<sup>10</sup>

**2. Conclusions**

24. The SBI welcomed the compilation and synthesis of supplementary information incorporated in the fifth national communications<sup>11</sup> submitted in accordance with Article 7, paragraph 2, of the Kyoto Protocol<sup>12</sup> prepared by the secretariat and took note thereof.

25. The SBI emphasized that the national communications and annual GHG inventories submitted by Annex I Parties that are also Parties to the Kyoto Protocol are the main source of information for reviewing the implementation of the Convention and its Kyoto Protocol by these Parties, and that the reports on the in-depth reviews of these national communications provide important additional information for this purpose.

26. The SBI noted that the information reported in the fifth national communications shows that Annex I Parties that are also Parties to the Kyoto Protocol are improving the quality of their reporting under the Kyoto Protocol.

27. The SBI urged Annex I Parties that are also Parties to the Kyoto Protocol to enhance further the completeness, comparability and level of detail of the reported information in accordance with the “Guidelines for the preparation of the information required under Article 7 of the Kyoto Protocol”.<sup>13</sup>

28. The SBI noted that the total aggregate GHG emissions of Annex I Parties that are also Parties to the Kyoto Protocol, excluding emissions and removals from LULUCF, decreased by 16.2 per cent; GHG emissions, excluding emissions and removals from LULUCF, from Annex I Parties that are also Parties to the Kyoto Protocol with economies in transition decreased by 36.4 per cent; and GHG emissions, excluding emissions and removals from LULUCF, from Annex I Parties that are also Parties to the Kyoto Protocol that do not have economies in transition increased by 0.1 per cent from the base year<sup>14</sup> to 2008, and for several of these Parties the increase was higher than that level.

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<sup>10</sup> Adopted as document FCCC/SBI/2012/L.15.

<sup>11</sup> The term “fifth national communications” includes the second, third and fourth national communications that were submitted during the period of time in which the majority of Parties included in Annex I to the Convention submitted their fifth national communication in accordance with decision 10/CP.13.

<sup>12</sup> FCCC/SBI/2011/INF.2.

<sup>13</sup> Decision 15/CMP.1, annex, part II.

<sup>14</sup> “Base year” refers to 1990 for all Annex I Parties except for Bulgaria (1988), Hungary (average of 1985–1987), Poland (1988), Romania (1989) and Slovenia (1986). Annex I Parties may choose to use

29. The SBI decided to recommend a draft decision on this subject for adoption by the Conference of the Parties serving as the meeting of the Parties to the Kyoto Protocol (CMP) at its eighth session (for the final text of the draft decision, see document FCCC/SBI/2012/15/Add.1).

### **C. Further implementation of Article 12, paragraph 5, of the Convention**

(Agenda item 3(d))

30. The SBI considered this sub-item at its 1<sup>st</sup> meeting. The SBI agreed to conclude the consideration of this sub-item at this session by noting that matters related to this sub-item have been resolved by relevant provisions of decisions 1/CP.16 and 2/CP.17.

## **IV. National communications from Parties not included in Annex I to the Convention**

(Agenda item 4)

### **A. Work of the Consultative Group of Experts on National Communications from Parties not included in Annex I to the Convention**

(Agenda item 4(a))

#### **1. Proceedings**

31. The SBI considered this sub-item at its 1<sup>st</sup> and 3<sup>rd</sup> meetings. It had before it documents FCCC/SBI/2012/2, FCCC/SBI/2012/12, FCCC/SBI/2012/13, FCCC/SBI/2012/14 and FCCC/SBI/2012/MISC.6. Statements were made by representatives of two Parties, one on behalf of AOSIS and one on behalf of the African States.

32. At the 1<sup>st</sup> meeting, the Chair invited Ms. Ruleta Camacho (Antigua and Barbuda), Chair of the Consultative Group of Experts on National Communications from Parties not included in Annex I to the Convention (CGE), to make a statement.

33. At the same meeting, the SBI agreed to consider this sub-item together with sub-item 4(d) in informal consultations facilitated by Ms. Martinez and Mr. Tanabe.

34. At the 3<sup>rd</sup> meeting, Mr. Tanabe reported on the consultations and the SBI considered and adopted the conclusions below.<sup>15</sup>

#### **2. Conclusions**

35. The SBI welcomed the progress report on the work of the CGE.<sup>16</sup>

36. The SBI also welcomed the following progress reports of the CGE regional hands-on training workshops for Parties not included in Annex I to the Convention (non-Annex I Parties), which were organized between September 2011 and March 2012:

(a) The progress report on the work of the CGE on the hands-on training workshop for the Africa region on vulnerability and adaptation assessment;<sup>17</sup>

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1995 as their base year for fluorinated gases for the purpose of calculating their assigned amount pursuant to Article 3, paragraphs 7 and 8, of the Kyoto Protocol.

<sup>15</sup> Adopted as document FCCC/SBI/2012/L.22.

<sup>16</sup> FCCC/SBI/2012/2.

(b) The progress report on the work of the CGE on the hands-on training workshop for the Asia and Pacific region on national GHG inventories;<sup>18</sup>

(c) The progress report on the work of the CGE on the hands-on training workshop for the Asia and Pacific region on mitigation assessment.<sup>19</sup>

37. The SBI expressed its gratitude to the United Nations Environment Programme and the Governments of Sri Lanka, Thailand and Namibia for hosting the CGE events.

38. The SBI thanked those Parties included in Annex II to the Convention (Annex II Parties) and other Annex I Parties<sup>20</sup> and multilateral programmes and organizations<sup>21</sup> that had made financial contributions towards the implementation of the work of the CGE.

39. The SBI welcomed the planned hands-on training activities<sup>22</sup> to be organized in 2012 by the CGE as a part of its work programme and requested the CGE to make every effort to conduct the planned regional training workshops<sup>23</sup> on mitigation and on vulnerability and adaptation in the second half of 2012.

40. The SBI welcomed the plan of the CGE in 2012 to develop and implement e-learning programmes, based on the revised training materials, and to establish a web-based network of experts in this regard.

41. The SBI took note of the estimated budgetary implications, as provided by the secretariat, to implement the actions requested in paragraphs 39 and 40 above.

42. Further, the SBI urged Annex II Parties and other Annex I Parties in a position to do so to provide financial resources to enable the CGE to implement the activities planned in accordance with its work programme in a timely manner. It also encouraged bilateral, multilateral and international organizations to continue supporting the work of the CGE.

43. The SBI reiterated the invitation to the CGE, in implementing its work programme, to take into account the current and future needs of non-Annex I Parties and to take into consideration the provisions under the Convention and the relevant decisions of the COP.<sup>24</sup>

44. In accordance with decision 14/CP.17, paragraphs 2 and 3, the SBI initiated, but did not conclude, consideration on the term and mandate of the CGE and the need for the continuation of the group. The SBI decided to continue the consideration on this matter at its next session, on the basis of the draft decision contained in document FCCC/SBI/2012/15/Add.2, with a view to recommending a draft decision for adoption by the COP at its eighteenth session.

45. The SBI requested that the actions of the secretariat and the CGE called for in these conclusions be undertaken subject to the availability of financial resources.

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<sup>17</sup> FCCC/SBI/2012/12.

<sup>18</sup> FCCC/SBI/2012/13.

<sup>19</sup> FCCC/SBI/2012/14.

<sup>20</sup> Canada, the European Union, Finland, Japan, Norway, Romania, Switzerland and the United Kingdom of Great Britain and Northern Ireland.

<sup>21</sup> The National Communications Support Programme, the Technical Support Unit for the Intergovernmental Panel on Climate Change Task Force on National Greenhouse Gas Inventories and the United Nations Environment Programme.

<sup>22</sup> FCCC/SBI/2012/2, paragraph 24.

<sup>23</sup> The hands-on training workshops for the Latin America and Caribbean region on vulnerability and adaptation and mitigation assessments will be held in Antigua and Barbuda, instead of Uruguay, as indicated in FCCC/SBI/2012/2, paragraph 24(g).

<sup>24</sup> FCCC/SBI/2010/27, paragraph 33.

**B. Information contained in national communications from Parties not included in Annex I to the Convention**

(Agenda item 4(b) *held in abeyance*)

**C. Further implementation of Article 12, paragraph 5, of the Convention**

(Agenda item 4(c))

46. The SBI considered this sub-item at its 1<sup>st</sup> meeting. The SBI agreed to conclude the consideration of this sub-item at this session by noting that matters related to this sub-item have been resolved by relevant provisions of decisions 1/CP.16 and 2/CP.17.

**D. Provision of financial and technical support**

(Agenda item 4(d))

**1. Proceedings**

47. The SBI considered this sub-item at its 1<sup>st</sup> and 3<sup>rd</sup> meetings. It had before it documents FCCC/SBI/2012/INF.7 and FCCC/SBI/2012/MISC.7. A representative of one Party made a statement.

48. At the 1<sup>st</sup> meeting, the Chair of the SBI invited a representative of the secretariat of the Global Environment Facility (GEF) to make a statement.

49. At the same meeting, the SBI agreed to consider this sub-item together with sub-item 4(a) in informal consultations facilitated by Ms. Martinez and Mr. Tanabe.

50. At the 3<sup>rd</sup> meeting, Mr. Tanabe reported on the consultations and the SBI considered and adopted the conclusions below.<sup>25</sup>

**2. Conclusions**

51. The SBI took note of the information provided by the secretariat of the GEF on the financial support provided by the GEF for the preparation of national communications from non-Annex I Parties.<sup>26</sup>

52. The SBI also took note of the “GEF policy guidelines for the financing of biennial update reports for Parties not included in Annex I to the United Nations Framework Convention on Climate Change”<sup>27</sup> for the preparation of first biennial update reports (BURs) from non-Annex I Parties.

53. The SBI, recalling decision 2/CP.17, paragraph 41(d), urged non-Annex I Parties to submit, as applicable, their request to the GEF for support for the preparation of their first BUR, in a timely manner.

54. The SBI recommended that the COP, at its eighteenth session, request the GEF to make available support to non-Annex I Parties for preparing their subsequent BURs, fully taking into account decision 2/CP.17, paragraph 41(a) and (e).

55. The SBI reiterated the request to the GEF made by the COP in decision 2/CP.17, paragraph 44, to make available support to non-Annex I Parties preparing their BURs as early as possible in 2012 and on the basis of agreed full-cost funding.

<sup>25</sup> Adopted as document FCCC/SBI/2012/L.23.

<sup>26</sup> FCCC/SBI/2012/INF.7.

<sup>27</sup> Available at <[http://www.thegef.org/gef/guideline/biennial\\_update\\_reports\\_parties\\_UNFCCC](http://www.thegef.org/gef/guideline/biennial_update_reports_parties_UNFCCC)>.

56. The SBI invited the GEF to continue providing detailed, accurate, timely and complete information on its activities relating to the preparation of national communications by non-Annex I Parties, in accordance with decision 10/CP.2, paragraph 1(b), including information on the dates of approval of funding and disbursement of funds. It also invited the GEF to continue providing information on the approximate date of completion of the draft national communications and an approximate date of submission to the secretariat of the national communications, for consideration by the SBI at its thirty-seventh session.

57. The SBI also invited the GEF to provide, in its report to the COP at its eighteenth session, detailed, accurate, timely and complete information on its activities relating to the preparation of BURs, including information on the dates of request and approval of funding, as well as disbursement of funds.

58. The SBI noted the submission<sup>28</sup> made by a non-Annex I Party on the detailed costs it incurred, including in-kind contributions, for the preparation of its most recent national communication and on the financial resources received through the GEF, as invited by the SBI at its thirty-fifth session.<sup>29</sup> It invited other non-Annex I Parties that have not already done so to submit their views on this issue by 17 September 2012. The SBI requested the secretariat to compile these submissions into a miscellaneous document for consideration by the SBI at its thirty-seventh session.

59. It encouraged the GEF, in accordance with decision 4/CP.14, to continue to ensure, as a top priority, that sufficient financial resources are provided to meet the agreed full costs incurred by developing country Parties in complying with their obligations under Article 12, paragraph 1, of the Convention.

60. The SBI recommended that the COP, at its eighteenth session, request the GEF to provide funds for technical support for the preparation of BURs from non-Annex I Parties, similar to that provided by the National Communications Support Programme, recognizing that the costs of such technical support are not deducted from the funds provided to non-Annex I Parties for the preparation of their BURs. It invited the GEF to report on this matter in its report to the COP at its nineteenth session.

61. The SBI noted with appreciation that, as at 24 May 2012, 142 initial, 73 second and three third national communications, and one fourth national communication, from non-Annex I Parties had been submitted. It also noted that 44 non-Annex I Parties are expected to submit their second national communications by the end of 2012.

## **V. Nationally appropriate mitigation actions by developing country Parties**

(Agenda item 5)

### **A. Prototype of the registry**

(Agenda item 5(a))

#### **1. Proceedings**

62. The SBI considered this item at its 2<sup>nd</sup> meeting, on 14 May, and at its 3<sup>rd</sup> meeting.

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<sup>28</sup> FCCC/SBI/2012/MISC.7.

<sup>29</sup> FCCC/SBI/2011/17, paragraph 39.

63. At its 2<sup>nd</sup> meeting, the SBI agreed to consider this sub-item in a contact group co-chaired by Ms. Elina Bardram (European Union) and Mr. Wondwossen Sintayehu (Ethiopia).

64. At the 3<sup>rd</sup> meeting, Mr. Sintayehu reported on the contact group's consultations and the SBI considered and adopted the conclusions below.<sup>30</sup>

## 2. Conclusions

65. The SBI recalled that, in accordance with decision 2/CP.17, paragraph 45(b), participation in the registry shall be voluntary and only information submitted expressly for inclusion in the registry should be recorded.

66. The SBI took note of information provided by the secretariat on the design of the prototype of the registry.

67. The SBI also took note of the views expressed by Parties during the current session of the SBI on how to improve the design of the prototype of the registry.

68. The SBI invited Parties to submit to the secretariat, by 8 June 2012, further views on how to improve the design of the prototype of the registry and its functionalities.<sup>31</sup>

69. The SBI requested the secretariat to improve the design of the prototype of the registry based on the views referred to in paragraphs 67 and 68 above, in order to enable Parties to start using the prototype of the registry in accordance with the timeline specified by decision 2/CP.17, paragraph 55.

70. The SBI recalled decision 2/CP.17, paragraphs 46–48, and noted the importance of the submission of the information referred to in those paragraphs to enabling the testing of the functionalities of the prototype of the registry.

71. The SBI also requested the secretariat to prepare the following:

(a) A note that explains how it has taken into account the views referred to in paragraphs 67 and 68 above in improving the design of the prototype of the registry, and to make this note available on the UNFCCC website by 31 August 2012;

(b) A user manual for the prototype of the registry.

72. The SBI took note of the estimated budgetary implications to develop and operate the prototype of the registry as provided by the secretariat.

73. It requested that the actions of the secretariat called for in these conclusions be undertaken subject to the availability of financial resources.

## B. Composition, modalities and procedures of the team of technical experts under international consultations and analysis

(Agenda item 5(b))

### 1. Proceedings

74. The SBI considered this sub-item at its 2<sup>nd</sup> and 3<sup>rd</sup> meetings. It had before it document FCCC/SBI/2012/MISC.8. A representative of one Party made a statement.

75. At its 2<sup>nd</sup> meeting the SBI agreed to consider this sub-item in a contact group co-chaired by Ms. Bardram and Mr. Sintayehu.

<sup>30</sup> Adopted as document FCCC/SBI/2012/L.10.

<sup>31</sup> These views should be submitted informally to <nama-registry@unfccc.int>.

76. At the 3<sup>rd</sup> meeting, Ms. Bardram reported on the contact group's consultations and the SBI considered and adopted the conclusions below, as amended.<sup>32</sup>

## 2. Conclusions

77. In accordance with decision 2/CP.17, paragraph 62, the SBI initiated, but did not conclude, consideration on the composition, modalities and procedures of the team of technical experts. The SBI decided to continue consideration of this matter at its next session, on the basis of the draft text contained in document FCCC/SBI/2012/15/Add.2, taking into account decisions 1/CP.16 and 2/CP.17, and Article 4, paragraph 7, of the Convention, with a view to recommending a draft decision for adoption by the COP at its eighteenth session.

78. The SBI also recognized the need to have an efficient, cost-effective and practical international consultations and analysis process, which does not impose an excessive burden on Parties and the secretariat, and requested the secretariat to prepare additional input to the discussion under this agenda item at its thirty-seventh session in this regard.

# VI. Matters relating to finance

(Agenda item 6)

## A. Initial review of the Adaptation Fund under the Kyoto Protocol

(Agenda item 6(a))

### 1. Proceedings

79. The SBI considered this sub-item at its 2<sup>nd</sup> and 3<sup>rd</sup> meetings. It had before it documents FCCC/SBI/2012/INF.2, FCCC/KP/CMP/2011/MISC.1 and FCCC/KP/CMP/2011/6 and Add.1. Representatives of three Parties made statements, including one on behalf of the Group of 77 and China and one on behalf of the LDCs.

80. At the 2<sup>nd</sup> meeting, the Chair of the SBI invited the Chair of the Adaptation Fund Board, Mr. Luis Santos (Uruguay), to make a statement.

81. At the same meeting, the SBI agreed to consider this sub-item in a contact group co-chaired by Ms. Camacho and Ms. Diane Barclay (Australia).

82. At the 3<sup>rd</sup> meeting, Ms. Barclay reported on the contact group's consultations and the SBI considered and adopted the conclusions below.<sup>33</sup>

### 2. Conclusions

83. The SBI took note of the views of the Adaptation Fund Board on the report on the review of the interim arrangements of the Adaptation Fund.<sup>34</sup> The SBI invited the Adaptation Fund Board to make available in its report to the CMP at its eighth session its views on the report on the review of the interim arrangements of the Adaptation Fund by 13 August 2012, in addition to those submitted in document FCCC/SBI/2012/INF.2.

84. The SBI noted the views of Parties and the written submissions provided during its thirty-sixth session relating to the initial review of the Adaptation Fund.

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<sup>32</sup> Adopted as document FCCC/SBI/2012/L.21, as amended.

<sup>33</sup> Adopted as document FCCC/SBI/2012/L.13.

<sup>34</sup> FCCC/SBI/2012/INF.2.



85. The SBI also noted that issues raised by Parties included those related to the interim institutional arrangements, the functioning of the interim trustee, access to funding and funding availability, in particular the diminishing resources due to the current low market prices of certified emission reductions.

86. The SBI further noted that some Parties have also raised issues related to ensuring sustainability, predictability and adequacy of the resources for the Adaptation Fund.

87. The SBI took note with appreciation of the efforts of the Adaptation Fund Board to promote the accreditation of national implementing entities and direct access to the Adaptation Fund resources and initiatives taken by the Adaptation Fund Board to explore options for raising additional resources.

88. The SBI further considered the initial review of the Adaptation Fund taking into account the input referred to in paragraph 83 above and the inputs listed in decision 6/CMP.6, annex, paragraph 5(a–d). The SBI noted that further information was required to conduct the initial review of the Adaptation Fund.

89. The SBI invited the Adaptation Fund Board to provide additional, disaggregated information on the administrative costs of the Adaptation Fund Board and requested the secretariat, building on the information contained in document FCCC/KP/CMP/2011/6/Add.1, to compile and synthesize available information, including that in relation to the Least Developed Countries Fund (LDCF) and the Special Climate Change Fund (SCCF), into an information document for consideration at its thirty-seventh session, for the purpose of a comparative analysis by Parties of the administrative costs of the services of the GEF as interim secretariat of the Adaptation Fund Board, the World Bank acting as an interim trustee for the Adaptation Fund and the Adaptation Fund Board.

90. The SBI invited Parties and relevant organizations to submit to the secretariat, by 17 September 2012, further views on the review of the Adaptation Fund in accordance with the annex to decision 6/CMP.6 and decision 1/CMP.3, paragraph 33.

91. The SBI requested the secretariat to compile into a miscellaneous document the views submitted by Parties and relevant organizations, including those written submissions referred to in paragraph 84 above, for consideration at its thirty-seventh session.

92. The SBI agreed to continue its consideration of the initial review of the Adaptation Fund in accordance with the annex to decision 6/CMP.6 at its thirty-seventh session, including the inputs referred to in paragraphs 83–91 above, with a view to preparing a draft decision on the outcome of the initial review of the Adaptation Fund for adoption by the CMP at its eighth session.

## **B. Other matters**

(Agenda item 6(b))

### **1. Proceedings**

93. The SBI considered this sub-item at its 2<sup>nd</sup> and 3<sup>rd</sup> meetings. It had before it documents FCCC/SBI/2012/7 and FCCC/CP/2011/7. Representatives of two Parties made statements, including one on behalf of the Group of 77 and China.

94. At its 2<sup>nd</sup> meeting, the SBI agreed to consider this sub-item in a contact group co-chaired by Ms. Ana Fornells de Frutos (Spain) and Ms. Suzanty Sitorus (Indonesia).

95. At the 3<sup>rd</sup> meeting, Ms. Sitorus reported on the contact group's consultations and the SBI considered and adopted the conclusions below.<sup>35</sup>

## 2. Conclusions

96. The SBI welcomed the report of the twenty-first meeting of the Least Developed Countries Expert Group (LEG).<sup>36</sup>

97. In particular, the SBI noted that the further specification of the elements of the LDC work programme other than national adaptation programmes of action (NAPAs) provided in the report could be useful to the implementation of the LDC work programme.

98. The SBI noted with appreciation the ongoing efforts of the LEG as well as of bilateral and other agencies to facilitate the implementation of the remaining elements of the LDC work programme other than the NAPAs. The SBI further noted with appreciation the efforts of the GEF, in parallel to supporting the ongoing implementation of the NAPAs, to facilitate the implementation of the remaining elements of the LDC work programme as mandated by decision 5/CP.14.

99. The SBI recognized that the LDCF was established to support the LDC work programme, in accordance with decision 5/CP.7, paragraph 12, and that implementation of the remaining elements of the LDC work programme is to be supported, in parallel to the ongoing implementation of the NAPAs in accordance with decision 5/CP.14.

100. The SBI recognized that the LDCF plays an important role in supporting the implementation of the LDC work programme, particularly through the implementation of NAPAs.

101. The SBI noted with appreciation the increased resources provided by Parties to the LDCF and the consequent increase to the LDCF ceiling. However, further support continues to be required to formulate and implement the elements of the LDC work programme other than the NAPAs.

102. The SBI further encouraged donor countries to continue providing funding for country-driven activities. The SBI invited the GEF to continue raising awareness on the need for adequate and predictable resources under the LDCF to allow the full implementation of the LDC work programme, in particular NAPAs, as mandated by decision 5/CP.14, paragraph 8.

103. The SBI noted that existing modalities for implementing NAPA priorities are partially addressing the other elements of the LDC work programme, including through, inter alia, capacity-building and coordination at different levels of government and across sectors, as well as communication and outreach programmes, the development and introduction of adaptation technologies and the improved provision of hydrometeorological services.

104. The SBI encouraged the LDCF to support technology elements of the LDC work programme, as appropriate to the priorities of the LDC Parties, including through the support of NAPAs. The SBI further encouraged the LEG to identify synergies with the future work of the Climate Technology Centre and Network.

105. The SBI encouraged LDC Parties to consider the options highlighted in the report of the LEG, which identify various ways to further address the other elements of the LDC work programme, in accordance with their specific needs and circumstances. The SBI further encouraged LDC Parties to submit proposals, including from elements of NAPAs,

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<sup>35</sup> Adopted as document FCCC/SBI/2012/L.14.

<sup>36</sup> FCCC/SBI/2012/7.

to the LDCF and to relevant bilateral, multilateral and international organizations that address the elements of the LDC work programme that are of highest priority to the LDC Parties.

106. The SBI looked forward to the remaining work of the LEG in its 2012–2013 work programme to support the remaining elements of the LDC work programme and invited the GEF, United Nations agencies and other international organizations to make submissions on how they can further support the implementation of the remaining elements of the LDC work programme other than NAPAs, for consideration by SBI at its thirty-seventh session with a view to making a recommendation to the COP at its eighteenth session.

107. The SBI took note of the information submitted by the GEF on the activities relating to decision 7/CP.7, paragraph 2(a–d). The SBI also noted that funding continues to be required to implement activities outlined in decision 7/CP.7, including paragraph 2(c) and (d). The SBI further noted that activities outlined in decision 7/CP.7, paragraph 2(a) and (b), were selected as the priority areas for the SCCF in accordance with decision 5/CP.9.

108. The SBI encouraged donor countries to continue providing funding to the SCCF and invited the GEF to raise awareness on the need for adequate and predictable resources under the SCCF for country-driven activities.

109. The SBI further invited the GEF to report on the issues mentioned in paragraphs 96–108 above to the COP.

## **VII. Matters relating to Article 4, paragraphs 8 and 9, of the Convention**

(Agenda item 7)

### **A. Progress on the implementation of decision 1/CP.10**

(Agenda item 7(a))

#### **Proceedings**

110. The SBI considered this sub-item at its 2<sup>nd</sup> and 3<sup>rd</sup> meetings.

111. At its 2<sup>nd</sup> meeting, the SBI agreed to consider, at this session, this sub-item jointly with agenda item 11 and agenda item 7 of the Subsidiary Body for Scientific and Technological Advice (SBSTA) in a joint SBI/SBSTA forum.

112. At the same meeting, the SBI also agreed that the SBI Chair, Mr. Chruszczow, would undertake consultations with interested Parties on how to take up this sub-item at the next session.

113. At the 3<sup>rd</sup> meeting, Mr. Chruszczow reported on the forum and the SBI considered and adopted the conclusions presented in paragraphs 165–168 below.<sup>37</sup> At the same meeting, the SBI agreed to continue, at its thirty-seventh session, consultations on how to take up this sub-item.

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<sup>37</sup> Adopted as document FCCC/SBSTA/2012/L.18–FCCC/SBI/2012/L.25.

## **B. Matters relating to the least developed countries**

(Agenda item 7(b))

### **1. Proceedings**

114. The SBI considered this sub-item at its 1<sup>st</sup> and 3<sup>rd</sup> meetings. It had before it document FCCC/SBI/2012/7. Representatives of four Parties made statements, including one on behalf of the LDCs, one on behalf of the Group of 77 and China, and one on behalf of the Coalition for Rainforest Nations.

115. At its 1<sup>st</sup> meeting, the Chair of the SBI invited Ms. Pepetua Latasi (Tuvalu), Vice-Chair of the LEG, to make a statement.

116. At the same meeting, the SBI agreed to consider this item in informal consultations facilitated by Mr. Collin Beck (Solomon Islands).

117. At the 3<sup>rd</sup> meeting, Mr. Beck reported on the consultations and the SBI considered and adopted the conclusions below.<sup>38</sup>

### **2. Conclusions**

118. The SBI took note of the oral report by the Chair of the LEG and welcomed the report on the twenty-first meeting of the LEG, held in Thimphu, Bhutan, from 9 to 13 March 2012.<sup>39</sup>

119. The SBI thanked the Government of Bhutan for hosting the meeting.

120. The SBI welcomed the submission of 47 NAPAs to the secretariat as at 25 May 2012. It noted the important role played by the LEG in assisting the LDC Parties with the preparation of NAPAs, and invited the LEG to continue to assist the LDCs that have not yet completed their NAPAs to complete and submit these as soon as possible, in collaboration with the GEF and its agencies.

121. The SBI expressed its appreciation to the LEG for the progress it has made under its work programme for 2011–2012,<sup>40</sup> and welcomed the LEG work programme for 2012–2013,<sup>41</sup> which was developed in response to decision 6/CP.16.

122. The SBI also noted the approach of the LEG for developing the technical guidelines and support for the national adaptation plan (NAP) process as mandated to the LEG under decision 5/CP.17,<sup>42</sup> in addition to the technical guidance and advice on the activities under decision 6/CP.16.

123. The SBI encouraged the LEG to enhance collaboration with relevant organizations, agencies and regional centres in carrying out activities under its work programme, as appropriate.

124. The SBI requested the LEG to keep it informed of the efforts of the LEG in implementing the work programme over the period 2012–2013.

125. The SBI invited Parties in a position to do so to continue to provide resources in support of the implementation of the LEG work programme, including for the technical review of the technical guidelines for the NAP process and for the regional training workshops mentioned in the LEG work programme for 2012–2013.

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<sup>38</sup> Adopted as document FCCC/SBI/2012/L.2.

<sup>39</sup> FCCC/SBI/2012/7.

<sup>40</sup> FCCC/SBI/2011/4, annex I.

<sup>41</sup> FCCC/SBI/2012/7, annex I.

<sup>42</sup> Decision 5/CP.17, paragraphs 13–17.

126. The SBI invited the GEF, in its capacity as the entity entrusted with the operation of the LDC Fund, relevant organizations, agencies and regional centres to support the organization, under the guidance of the LEG, of the regional training workshops mentioned in the LEG work programme for 2012–2013.

## VIII. National adaptation plans<sup>43</sup>

(Agenda item 8)

### **A process to enable least developed country Parties to formulate and implement national adaptation plans, building upon their experience in preparing and implementing national adaptation programmes of action<sup>44</sup>**

(Agenda item 8(a))

### **Modalities and guidelines for least developed country Parties and other developing country Parties to employ the modalities formulated to support national adaptation plans<sup>45</sup>**

(Agenda item 8(b))

#### 1. Proceedings

127. The SBI considered these sub-items at its 2<sup>nd</sup> and 3<sup>rd</sup> meetings. It had before it documents FCCC/SBI/2012/8, FCCC/SBI/2012/MISC.1, FCCC/SBI/2012/MISC.2 and Add.1 and FCCC/SBI/2012/MISC.3. Representatives of two Parties made statements, including one on behalf of the Group of 77 and China.

128. At its 2<sup>nd</sup> meeting, the SBI agreed to consider these sub-items in informal consultations facilitated by Mr. Richard Merzian (Australia) and Mr. Amjad Abdulla (Maldives).

129. At the 3<sup>rd</sup> meeting, Mr. Merzian reported on the consultations and the SBI considered and adopted the conclusions<sup>46</sup> below.

#### 2. Conclusions

130. The SBI welcomed the synthesis report on the support for the NAP process for the LDCs.<sup>47</sup>

131. The SBI welcomed the information on activities and support programmes for the NAP process provided by Parties, the GEF, United Nations organizations and other organizations through their submissions.<sup>48</sup>

132. The SBI reiterated the invitation to Parties by the COP to strengthen their engagement with regional centres and networks in supporting the NAP process.<sup>49</sup>

<sup>43</sup> Decision 1/CP.16, paragraphs 15–18.

<sup>44</sup> Decision 1/CP.16, paragraphs 15, 17 and 18.

<sup>45</sup> Decision 1/CP.16, paragraphs 15–18.

<sup>46</sup> Adopted as document FCCC/SBI/2012/L.7.

<sup>47</sup> FCCC/SBI/2012/8.

<sup>48</sup> FCCC/SBI/2012/MISC.1, FCCC/SBI/2012/MISC.2 and Add.1 and FCCC/SBI/2012/MISC.3.

<sup>49</sup> Decision 5/CP.17, paragraph 19.

133. The SBI also reiterated the urging of developed country Parties by the COP to mobilize financial support for the NAP process for LDC Parties through bilateral and multilateral channels, including through the LDCF, in accordance with decisions 1/CP.16 and 5/CP.17.

134. The SBI further reiterated the request to developed country Parties by the COP to continue to provide LDC Parties with finance, technology and capacity-building in accordance with decision 1/CP.16, including paragraph 18, decision 5/CP.17 and other relevant decisions of the COP.

135. The SBI took note of work relevant for the NAP process undertaken by constituted bodies and expert groups under the Convention, in particular the work of the LEG.

136. The SBI noted the progress made by the LEG in developing technical guidelines for the NAP process, and looked forward to the completion of these guidelines by the eighteenth session of the COP.

137. The SBI took note of the regional training workshops to be conducted by the LEG as part of the rolling work programme of the LEG for 2012–2013 and noted that these will include considerations of medium- and long-term adaptation.

138. The SBI looked forward to the analysis and compilation of support needs for the NAP process by the LEG as included in the rolling work programme of the LEG for 2012–2013,<sup>50</sup> which will help to inform how LDC Parties could be supported.

139. The SBI also looked forward to the compilation of case studies on national adaptation planning processes, including tools and approaches used for the prioritization and implementation of actions, to be prepared under the Nairobi work programme on impacts, vulnerability and adaptation to climate change by the thirty-seventh session of the SBSTA.<sup>51</sup>

140. The SBI invited relevant United Nations organizations, specialized agencies and other relevant organizations to continue their support for the NAP process in the LDC Parties, within their mandates and as appropriate, and to provide information to Parties on a regular basis on their efforts.

141. The SBI took note of work undertaken by organizations and institutions outside the Convention, that may be relevant to the NAP process, and extended an invitation for this work to continue.

142. The SBI recalled the request by the COP to the Adaptation Committee, in accordance with its agreed functions, to consider, in its workplan, the relevant modalities for supporting interested developing countries that are not LDC Parties to plan, prioritize and implement their national adaptation planning measures, including through the use of modalities contained in decision 5/CP.17, and to report on this matter to the COP at its eighteenth session.

143. The SBI reaffirmed the invitation by the COP to the operating entities of the financial mechanism of the Convention, bilateral and multilateral organizations and other institutions, as appropriate, to provide financial and technical support to developing country Parties to plan, prioritize and implement their national adaptation planning measures, consistent with decision 1/CP.16 and relevant provisions of the Convention.

144. The SBI looked forward to continuing its consideration at its thirty-seventh session of guidance on policies and programmes to enable financial, technology and capacity-building support for the NAP process for the LDC Parties, using the text contained in

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<sup>50</sup> FCCC/SBI/2012/7, annex I.

<sup>51</sup> Decision 6/CP.17, paragraph 7.

document FCCC/SBI/2012/15/Add.2 as a basis for discussion, with a view to making recommendations to the COP at its eighteenth session.

## **IX. Approaches to address loss and damage associated with climate change impacts in developing countries that are particularly vulnerable to the adverse effects of climate change to enhance adaptive capacity<sup>52</sup>**

### **- Activities to be undertaken under the work programme**

(Agenda item 9)

#### **1. Proceedings**

145. The SBI considered this item at its 2<sup>nd</sup> and 3<sup>rd</sup> meetings. It had before it documents FCCC/SBI/2012/INF.3 and FCCC/TP/2012/1. Representatives of four Parties made statements, including one on behalf of AOSIS and one on behalf of the LDCs.

146. At its 2<sup>nd</sup> meeting, the SBI agreed to consider this item in informal consultations facilitated by Mr. Don Lemmen (Canada) and Mr. Lucas di Pietro (Argentina).

147. At the 3<sup>rd</sup> meeting, Mr. Lemmen reported on the consultations and the SBI considered and adopted the conclusions below.<sup>53</sup>

#### **2. Conclusions**

148. The SBI welcomed the documents prepared for the session<sup>54</sup> and expressed its appreciation to the Government of Japan for hosting the expert meeting held in Tokyo, Japan, from 26 to 28 March 2012 to address issues related to assessing the risk of loss and damage associated with the adverse effects of climate change.<sup>55</sup>

149. The SBI took note of the existing relevant knowledge and work under and outside the Convention, including the Intergovernmental Panel on Climate Change (IPCC) *Special Report on Managing the Risks of Extreme Events and Disasters to Advance Climate Change Adaptation*<sup>56</sup> and the United Nations *Global Assessment Report on Disaster Risk Reduction*.<sup>57</sup>

150. The SBI considered the progress made on the implementation of the work programme on loss and damage, and noted the remaining work to be undertaken under this work programme.<sup>58</sup> It further noted a number of points relevant to assessing the risk of loss and damage associated with the adverse effects of climate change and the current knowledge on the same, including the following:

(a) The assessment of climate-related risk is complex, involving the consideration of hazards, exposure and vulnerability, and takes into account underlying risk drivers;

(b) A range of approaches, methods and tools is available to assess the risk of loss and damage associated with the adverse effects of climate change. The selection of

<sup>52</sup> Decision 1/CP.16, paragraphs 26–29.

<sup>53</sup> Adopted as document FCCC/SBI/2012/L.12.

<sup>54</sup> FCCC/SBI/2012/INF.3 and FCCC/TP/2012/1.

<sup>55</sup> FCCC/SBI/2011/7, paragraph 109(a).

<sup>56</sup> <<http://ipcc-wg2.gov/SREX/>>.

<sup>57</sup> <<http://www.preventionweb.net/english/hyogo/gar/2011/en/home/index.html>>.

<sup>58</sup> Decision 1/CP.16, paragraphs 26–29, and decision 7/CP.17.

appropriate approaches, methods and tools depends upon regional, national and local capacity, contexts and circumstances and involves the engagement of all relevant stakeholders;

(c) Gaps in the assessment of the risk of loss and damage for vulnerable communities and populations, including women and children, can be addressed by involving these communities and populations in risk assessment processes;

(d) The use of local and indigenous knowledge and observations helps to fill gaps in information about historical exposure and vulnerability;

(e) Assessment of the risk of loss and damage is often constrained by the limited availability of data and knowledge, including, but not limited to, that on weather, climate, socioeconomic conditions and ecosystems. Risk management actions can still be taken in the absence of complete sets of data and knowledge, taking into account the national circumstances;

(f) Access to, sharing and the use of information and data, such as hydrometeorological data and metadata, on a voluntary basis is important to facilitate the assessment and management of climate-related risk;

(g) Enhanced technical and institutional capacities supported by technical and financial assistance and other resources will help developing countries to continue to determine, prioritize and address their needs in assessing the risk of loss and damage associated with the adverse effects of climate change;

(h) Involvement of, and dialogue with, decision makers at all levels can strengthen the design, dissemination and delivery of information on climate risk;

(i) Numerical data are sometimes not sufficient in conveying a comprehensive range of the risks of loss and damage associated with the adverse effects of climate change since available estimates on losses typically lack numbers on non-economic losses.

151. The SBI noted the importance of adopting a holistic approach in considering the three thematic areas of the work programme on loss and damage associated with the adverse effects of climate change,<sup>59</sup> and of drawing upon relevant work under and outside the Convention.

152. The SBI recalled that the COP, at its seventeenth session, requested the secretariat to organize four expert meetings, three at the regional level and one for small island developing States, to be held before the thirty-seventh session of the SBI, to address issues related to a range of approaches to address loss and damage associated with the adverse effects of climate change, including impacts related to extreme weather events and slow onset events, taking into consideration experience at all levels of the work programme.<sup>60</sup>

153. The SBI recalled the objective of the work programme to consider approaches to address loss and damage associated with the adverse effects of climate change, and appreciated the need to explore a range of approaches and potential mechanisms, including an international mechanism, to address loss and damage associated with the adverse effects of climate change, with a view to making recommendations on loss and damage associated with the adverse effects of climate change to the COP for its consideration at its eighteenth session.

154. The SBI requested the secretariat, in consultation with Parties and relevant stakeholders, in organizing the four expert meetings mentioned in paragraph 152 above to take into account, to the extent possible, the following:

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<sup>59</sup> FCCC/SBI/2011/7, paragraph 109(a–c).

<sup>60</sup> Decision 7/CP.17, paragraph 8(a).



(a) Inviting representatives from regional centres and networks, as well as experts from a wide range of relevant domains, disciplines and communities, including those involved in the development of the IPCC assessments and special reports, and experts in disaster risk reduction and in financial approaches to risk management, subject to the availability of financial resources, to attend the expert meetings;

(b) Requesting presenters to make available the abstracts of the materials to be presented at the expert meetings in advance, in order to assist participants to better prepare for the discussions.

155. To facilitate the completion of its work, the SBI requested its Chair to convene an informal pre-session meeting of Parties, in conjunction with its thirty-seventh session, subject to the availability of resources and conflicts of timing, to exchange further views on the possible recommendations on loss and damage associated with the adverse effects of climate change.

156. The SBI took note of the estimated budgetary implications to implement the activities referred to in these conclusions, as provided by the secretariat.

157. The SBI requested that the actions of the secretariat called for in these conclusions be undertaken subject to the availability of financial resources.

## **X. Matters relating to Article 3, paragraph 14, of the Kyoto Protocol**

(Agenda item 10)

### **Proceedings**

158. The SBI considered this item at its 2<sup>nd</sup> and 3<sup>rd</sup> meetings.

159. At its 2<sup>nd</sup> meeting, the SBI agreed to consider, at this session, this item jointly with agenda item 11 and agenda item 7 of the SBSTA in a joint SBI/SBSTA forum.

160. At the same meeting, the SBI also agreed that the SBI Chair, Mr. Chruszczow, and the SBSTA Chair, Mr. Richard Muyungi (United Republic of Tanzania), would undertake consultations with interested Parties on how to take up this item at the next session.

161. At the 3<sup>rd</sup> meeting, Mr. Chruszczow reported on the forum and the SBI considered and adopted the conclusions presented in paragraphs 165–168 below.<sup>61</sup> At the same meeting, the SBI agreed to continue, at its thirty-seventh session, consultations on how to take up this item.

## **XI. Forum and work programme on the impact of the implementation of response measures**

(Agenda item 11)

### **1. Proceedings**

162. The SBI considered this item at its 2<sup>nd</sup> and 3<sup>rd</sup> meetings.

163. At its 2<sup>nd</sup> meeting, the SBI agreed to consider this item jointly with agenda item 7 of the SBSTA in a joint SBI/SBSTA forum co-chaired by the Chair of the SBI, Mr. Chruszczow, and the Chair of the SBSTA, Mr. Muyungi. It also agreed to consider, at this session, this item jointly with agenda sub-item 7(a) and agenda item 10.

<sup>61</sup> Adopted as document FCCC/SBSTA/2012/L.18–FCCC/SBI/2012/L.25.

164. At the 3<sup>rd</sup> meeting, Mr. Chruszczow reported on the forum and the SBI considered and adopted the conclusions below.<sup>62</sup>

## 2. Conclusions

165. The SBI and the SBSTA welcomed the discussion at the first meeting of the forum on the impact of the implementation of response measures, and the work on the modalities for the operationalization of the work programme, in accordance with decision 8/CP.17.

166. The SBI and the SBSTA agreed to implement the work programme on the impact of the implementation of response measures as contained in annex I, under the guidance of the Chairs of the SBI and the SBSTA.

167. The SBI and the SBSTA requested the secretariat to support, under the guidance of the Chairs of the SBI and the SBSTA, the implementation of the work programme.

168. The SBI and the SBSTA invited relevant organizations and other stakeholders to participate in the activities of the work programme contained in annex I.

## XII. Development and transfer of technologies

(Agenda item 12)

### A. Report of the Technology Executive Committee

(Agenda item 12(a))

#### 1. Proceedings

169. The SBI considered this sub-item at its 2<sup>nd</sup> and 3<sup>rd</sup> meetings. It had before it document FCCC/SB/2012/1.

170. At the 2<sup>nd</sup> meeting, the SBI agreed to consider this sub-item together with SBSTA agenda item 5 in a joint contact group, co-chaired by Mr. Carlos Fuller (Belize) and Mr. Zitouni Ould-Dada (United Kingdom of Great Britain and Northern Ireland).

171. At the 3<sup>rd</sup> meeting, Mr. Fuller reported on the contact group's consultations and the SBI considered and adopted the conclusions below.<sup>63</sup>

#### 2. Conclusions

172. The SBI and the SBSTA welcomed the report on the linkage modalities and the rolling workplan of the Technology Executive Committee (TEC) for 2012–2013.<sup>64</sup>

173. The SBI and the SBSTA also welcomed the rolling workplan of the TEC for 2012–2013, as set out in annex I to the report referred to in paragraph 172 above, and encouraged the TEC to advance the implementation of this workplan. The SBI and the SBSTA encouraged the TEC to undertake the short-term activities of this plan as a matter of priority and welcomed the organization of thematic dialogues, including one on enabling environments and barriers to technology development and transfer, at its next meeting.

174. The SBI and the SBSTA noted that the TEC has structured its workplan around the following three areas in order to prioritize its work: activities mandated by the United Nations Climate Change Conference held in Durban, South Africa, in 2011; short-term activities to begin in 2012; and medium-term activities to begin in 2013.

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<sup>62</sup> Adopted as document FCCC/SBSTA/2012/L.18–FCCC/SBI/2012/L.25.

<sup>63</sup> Adopted as document FCCC/SBI/2012/L.20.

<sup>64</sup> FCCC/SB/2012/1.

175. The SBI and the SBSTA also noted the initial ideas of the TEC on its modalities on linkages with other relevant institutional arrangements under and outside the Convention, as referred to in decision 1/CP.16, paragraph 125, and developed by the TEC in response to decision 4/CP.17. The SBI and the SBSTA further noted that the nature of such linkages may evolve over time, recognizing that a number of institutional arrangements are materializing pursuant to decisions taken at the seventeenth session of the COP and that some institutional arrangements have been asked to collaborate with the TEC, as referred to in decision 3/CP.17, paragraph 17, and that some institutional arrangements have been asked to develop mechanisms to draw upon appropriate expert and technical advice, including from the relevant thematic bodies established under the Convention, as appropriate.

176. The SBI and the SBSTA invited the TEC to further elaborate and fine-tune its initial ideas on modalities on linkages with the institutional arrangements under and outside the Convention as set out in annex II of the report referred to in paragraph 172 above.

177. The SBI and the SBSTA noted that the TEC will consult with the relevant institutional arrangements, once they become operational, to seek their views and mutual agreement on the proposed linkage modalities. The SBI and the SBSTA also noted that the TEC will report on the results of its consultations with these relevant institutional arrangements on these modalities in its report on activities and performance for 2012, through the subsidiary bodies, for consideration by the COP at its eighteenth session.

## **B. Matters relating to the Climate Technology Centre and Network: selection of the host and constitution of the advisory board**

(Agenda item 12(b))

### **1. Proceedings**

178. The SBI considered this sub-item at its 2<sup>nd</sup> and 3<sup>rd</sup> meetings. It had before it document FCCC/SBI/2012/INF.4. The Executive Secretary and representatives of two Parties made statements.

179. At its 2<sup>nd</sup> meeting, the SBI agreed to consider this sub-item together with sub-item 12(c) in a contact group co-chaired by Mr. Fuller and Mr. Ould-Dada.

180. At the 3<sup>rd</sup> meeting, Mr. Ould-Dada reported on the contact group's consultations and the SBI considered and adopted the conclusions below.<sup>65</sup>

### **2. Conclusions**

181. The SBI welcomed the report on the evaluation of proposals for hosting the Climate Technology Centre (CTC)<sup>66</sup> prepared by the evaluation panel consisting of three members from Annex I Parties and three from non-Annex I Parties as nominated by the TEC from within its membership.

182. The SBI recalled that the call for proposals for hosting the CTC was issued by the secretariat on 16 January 2012. It noted that nine proposals from interested organizations were received in response to the call for proposals by 16 March 2012 and that the executive summaries of eight of these proposals<sup>67</sup> have been posted on the UNFCCC website.<sup>68</sup>

<sup>65</sup> Adopted as document FCCC/SBI/2012/L.18.

<sup>66</sup> FCCC/SBI/2012/INF.4.

<sup>67</sup> One proposal did not provide the information required to be included in the proposal as outlined in decision 2/CP.17, annex VIII, paragraph 12, including the executive summary of the proposal.

<sup>68</sup> <[http://unfccc.int/cooperation\\_and\\_support/technology/items/6602.php](http://unfccc.int/cooperation_and_support/technology/items/6602.php)>.

183. The SBI noted with appreciation the efforts made by the proponents in responding to the call for proposals for hosting the CTC, by the evaluation panel in conducting the assessment of the proposals and preparing the evaluation report, and by the secretariat in providing support for the selection process, all within a limited time frame.

184. The SBI considered the report referred to in paragraph 181 above, which includes a shortlist that ranked the top three proponents for hosting the CTC and information on how the evaluation panel conducted the assessment of the proposals received against the criteria contained in decision 2/CP.17, annex VIII. It noted that the proposals of the three proponents included in the shortlist were made available on the UNFCCC website as requested by Parties.<sup>69</sup>

185. Pursuant to decision 2/CP.17, paragraph 138(a), the SBI agreed on a ranked list of proponents, with the consortium led by the United Nations Environment Programme<sup>70</sup> ranked first, the GEF ranked second and Det Norske Veritas AS ranked third for hosting the CTC.

186. The SBI recalled the request in decision 2/CP.17, paragraph 137(e) and (f), and requested the secretariat to promptly initiate a discussion on the key elements of the potential host agreement with the proponent ranked first as referred to in paragraph 185 above after the closure of the thirty-sixth session of the SBI and, if needed, with the proponents ranked second and third, in parallel, as referred to in paragraph 185 above. It also requested the secretariat to make the report on the outcome of discussions on the key elements of the potential host agreement available by 26 October 2012 for consideration by the SBI at its thirty-seventh session, with a view to recommending the draft host agreement for consideration and approval by the COP at its eighteenth session.

187. Pursuant to decision 2/CP.17, paragraph 138(b), the SBI also agreed that it will recommend the host of the CTC at its thirty-seventh session to the COP for approval at its eighteenth session.

188. The SBI noted the assessment findings contained in the report referred to in paragraph 181 above and requested the proponent, once selected as the host of the CTC, to address these findings, including, inter alia:

- (a) Strengthening its expertise and capabilities on technologies for adaptation;
- (b) Exploring ways to collaborate with other proponents, as appropriate.

189. Pursuant to decision 1/CP.16, paragraph 123, the SBI further requested the proponent, once selected as the host of the CTC, to ensure that the following are addressed in the operationalization of the Climate Technology Centre and Network (CTCN):

- (a) Facilitating a network of national, regional, sectoral and international technology centres, networks, organizations and initiatives;
- (b) Stimulating and encouraging, through collaboration with the private sector, public institutions, academia and research institutions, the development and transfer of existing and emerging environmentally sound technologies, as well as opportunities for North–South, South–South and triangular technology cooperation.

190. The SBI stressed decision 2/CP.17, paragraph 140, requesting the GEF to support the operationalization and activities of the CTCN without prejudging any selection of the host.

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<sup>69</sup> <[http://unfccc.int/cooperation\\_and\\_support/technology/items/6602.php](http://unfccc.int/cooperation_and_support/technology/items/6602.php)>.

<sup>70</sup> The consortium consists of 13 organizations, the list of which is available on the UNFCCC website at <[http://unfccc.int/cooperation\\_and\\_support/technology/items/6602.php](http://unfccc.int/cooperation_and_support/technology/items/6602.php)>.

191. Pursuant to decision 2/CP.17, paragraph 138(c), the SBI considered the constitution of the advisory board referred to in decision 2/CP.17, annex VII, paragraph 7, and agreed to continue its consideration of this matter at its thirty-seventh session, based on the elements for a draft decision contained in document FCCC/SBI/2012/15/Add.2, with a view to making a recommendation to the COP for consideration and adoption at its eighteenth session.

## **C. Poznan strategic programme on technology transfer**

(Agenda item 12(c))

### **1. Proceedings**

192. The SBI considered this sub-item at its 2<sup>nd</sup> and 3<sup>rd</sup> meetings. It had before it document FCCC/SBI/2012/9. A representative of one Party made a statement.

193. At the 2<sup>nd</sup> meeting, the SBI agreed to consider this sub-item together with sub-item 12(b) in a contact group co-chaired by Mr. Fuller and Mr. Ould-Dada.

194. At the 3<sup>rd</sup> meeting, Mr. Ould-Dada reported on the contact group's consultations and the SBI considered and adopted the conclusions below.<sup>71</sup>

### **2. Conclusions**

195. The SBI noted the report by the GEF on the progress made in carrying out the Poznan strategic programme on technology transfer,<sup>72</sup> as invited by the SBI at its thirty-fourth session.<sup>73</sup>

196. The SBI noted the support provided by the GEF for the implementation of technology transfer pilot projects under the Poznan strategic programme and the views expressed by Parties on the need to balance support for mitigation and adaptation projects. The SBI invited the GEF to enhance the balance between adaptation and mitigation projects in the long-term implementation of the Poznan strategic programme, including by enhancing its outreach and awareness-raising efforts on funding opportunities for projects related to technologies for adaptation. The SBI recalled its conclusion<sup>74</sup> at its thirty-fifth session encouraging non-Annex I Parties to submit project proposals, particularly for technologies for adaptation, to the GEF in line with the elements of the long-term programme described in document FCCC/SBI/2011/14, annex, paragraphs 46 and 47.

197. The SBI invited the GEF and its implementing agencies to expedite the process for the implementation and completion of the remaining technology transfer pilot projects submitted in 2009 for support under the Poznan strategic programme.

198. The SBI acknowledged the support provided by the GEF to assist 36 non-Annex I Parties in conducting their technology needs assessments (TNAs). The SBI recalled decision 11/CP.17, paragraph 2, which invited the GEF, in the context of TNAs, to continue to provide financial support to other non-Annex I Parties, as appropriate, to conduct or update their TNAs.

199. The SBI recalled the conclusion<sup>75</sup> at its thirty-fourth session inviting the GEF to provide reports on the progress made in carrying out its activities under the Poznan strategic programme, including its long-term implementation, for consideration by the SBI

<sup>71</sup> Adopted as document FCCC/SBI/2012/L.19.

<sup>72</sup> FCCC/SBI/2012/9.

<sup>73</sup> FCCC/SBI/2011/7, paragraph 137.

<sup>74</sup> FCCC/SBI/2011/17, paragraph 97.

<sup>75</sup> FCCC/SBI/2011/7, paragraph 137.

at its thirty-fifth and subsequent sessions. The SBI invited the GEF in its future progress reports to further elaborate on:

(a) The activities undertaken by the GEF to enhance its outreach and awareness-raising efforts on funding opportunities for projects related to technologies for adaptation, in order to address the need for balanced support for projects related to technologies for adaptation;

(b) Experiences gained and lessons learned, including success stories and challenges faced in carrying out the activities under the Poznan strategic programme;

(c) Progress made by its implementing agencies in the delivery of technology transfer under the Poznan strategic programme;

(d) The efforts carried out to support, pursuant to decision 2/CP.17, the operationalization and activities of the CTCN.

200. The SBI stressed the need for the further implementation of the element of the Poznan strategic programme included in document FCCC/SBI/2012/9, paragraph 23(a), to be aligned with, and to enable, the operationalization and activities of the CTC and its Network, taking into account paragraphs 185 and 187 above, and decision 2/CP.17, paragraph 140.

### **XIII. Article 6 of the Convention**

(Agenda item 13)

#### **1. Proceedings**

201. The SBI considered this item at its 1<sup>st</sup> and 3<sup>rd</sup> meetings. It had before it documents FCCC/SBI/2012/3, FCCC/SBI/2012/4, FCCC/SBI/2012/5, FCCC/SBI/2012/MISC.4 and FCCC/CP/2011/7/Add.2. Representatives of two Parties made statements, including one on behalf of the European Union and its member States

202. At its 1<sup>st</sup> meeting, the SBI agreed to consider this item in informal consultations facilitated by Mr. Tony Carritt (European Union).

203. At the 3<sup>rd</sup> meeting, Mr. Carritt reported on the consultations and the SBI considered and adopted the conclusions below.<sup>76</sup>

#### **2. Conclusions**

204. The SBI agreed to continue consideration of this item at its thirty-seventh session, on the basis of the draft text contained in document FCCC/SBI/2012/15/Add.2, with a view to recommending a draft decision on this matter for adoption by the COP at its eighteenth session.

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<sup>76</sup> Adopted as document FCCC/SBI/2012/L.26.

## **XIV. Capacity-building<sup>77</sup>**

(Agenda item 14)

### **A. Capacity-building under the Convention**

(Agenda item 14(a))

#### **1. Proceedings**

205. The SBI considered this sub-item at its 2<sup>nd</sup> and 3<sup>rd</sup> meetings. It had before it documents FCCC/SBI/2012/10 and FCCC/SBI/2012/MISC.5. A representative of one Party made a statement.

206. At its 2<sup>nd</sup> meeting, the SBI agreed to consider this item in informal consultations facilitated by Mr. Kunihiro Shimada (Japan).

207. At the 3<sup>rd</sup> meeting, Mr. Shimada reported on the consultations and the SBI considered and adopted the conclusions below.<sup>78</sup> At the same meeting, Mr. Chruszczow, Chair of the SBI, reported on the first meeting of the Durban Forum on Capacity-building, which had taken place during the session,<sup>79</sup> and a representative of one Party made a statement.

#### **2. Conclusions**

208. The SBI, at its thirty-sixth session, decided to recommend a draft decision for adoption by the COP at its eighteenth session (for the final text of the draft decision, see document FCCC/SBI/2012/15/Add.1).

### **B. Capacity-building under the Kyoto Protocol**

(Agenda item 14(b))

#### **1. Proceedings**

209. The SBI considered this item at its 2<sup>nd</sup> and 3<sup>rd</sup> meetings. It had before it documents FCCC/SBI/2012/10 and FCCC/SBI/2012/MISC.5.

210. At its 2<sup>nd</sup> meeting, the SBI agreed to consider this item in informal consultations facilitated by Mr. Shimada.

211. At the 3<sup>rd</sup> meeting, Mr. Shimada reported on the consultations and the SBI considered and adopted the conclusions below.<sup>80</sup>

#### **2. Conclusions**

212. The SBI, at its thirty-sixth session, decided to recommend a draft decision for adoption by the CMP at its eighth session (for the final text of the draft decision, see document FCCC/SBI/2012/15/Add.1).

<sup>77</sup> The COP, by decision 2/CP.17, requested the SBI to further enhance the monitoring and review of the effectiveness of capacity-building by organizing an annual in-session Durban Forum on Capacity-building. It also requested the SBI to organize the first meeting of the Durban Forum during its thirty-sixth session. The summary report of the Durban Forum will be considered by the SBI at its thirty-seventh session.

<sup>78</sup> Adopted as document FCCC/SBI/2012/L.4.

<sup>79</sup> As footnote 77 above.

<sup>80</sup> Adopted as document FCCC/SBI/2012/L.5.

## **XV. Amendment of the Kyoto Protocol in respect of procedures and mechanisms relating to compliance**

(Agenda item 15)

### **Proceedings**

213. The SBI considered this item at its 2<sup>nd</sup> and 3<sup>rd</sup> meetings. It had before it document FCCC/KP/CMP/2005/2.

214. At the 2<sup>nd</sup> meeting, the Chair of the SBI announced that he would consult with interested Parties and report on the outcome of those consultations to the SBI at its closing plenary.

215. At the 3<sup>rd</sup> meeting, the Chair reported that there was agreement to continue to discuss this matter further at the thirty-seventh session of the SBI and to include this item on the provisional agenda for that session, with a view to concluding consideration of this issue and submitting recommendations to the CMP at its eighth session.

## **XVI. Procedures, mechanisms and institutional arrangements for appeals against the decisions of the Executive Board of the clean development mechanism**

(Agenda item 16)

### **1. Proceedings**

216. The SBI considered this item at its 2<sup>nd</sup> and 3<sup>rd</sup> meetings. It had before it documents FCCC/SBI/2011/17, annex I, FCCC/SBI/2011/MISC.2 and FCCC/TP/2011/3.

217. At the 2<sup>nd</sup> meeting, the SBI agreed to consider this item in a contact group co-chaired by Mr. Yaw Bediako Osafo (Ghana) and Mr. Shimada.

218. At the 3<sup>rd</sup> meeting, Mr. Shimada reported on the contact group's consultations and the SBI considered and adopted the conclusions below.<sup>81</sup>

### **2. Conclusions**

219. The SBI made further progress in setting out some of the features of the possible appeals body. Parties, however, continued to maintain different views with regard to the issue of scope, among other issues.

220. The SBI agreed to continue its consideration of this matter at its thirty-seventh session on the basis of, inter-alia, the co-chairs' draft text contained in document FCCC/SBI/2012/15/Add.2, with a view to forwarding a draft decision for consideration by the CMP at its eighth session.

## **XVII. Review of the design of the commitment period reserve**

(Agenda item 17)

### **1. Proceedings**

221. The SBI considered this item at its 2<sup>nd</sup> and 3<sup>rd</sup> meetings. A representative of one Party made a statement on behalf of AOSIS.

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<sup>81</sup> Adopted as document FCCC/SBI/2012/L.8.



222. At its 2<sup>nd</sup> meeting, the SBI agreed to consider this item in a contact group chaired by the Chair of the SBI, Mr. Chruszczow.

223. At the 3<sup>rd</sup> session, Mr. Chruszczow informed Parties that, owing to several conflicts in scheduling the contact group, he had requested Mr. Nsiala Tosi Bibanda Mpanu Mpanu (Democratic Republic of the Congo) to support the Chair in that role.

224. At the 3<sup>rd</sup> meeting, Mr. Bibanda Mpanu Mpanu reported on the contact group's consultations and the SBI considered and adopted the conclusions below.<sup>82</sup>

## 2. Conclusions

225. The SBI initiated its consideration of the review and revision, as appropriate, of the design of the commitment period reserve for the subsequent commitment period, to support the effective operation of emissions trading, taking into account, inter alia, the relevant rules, modalities, guidelines and procedures for measuring, reporting, verification and compliance in accordance with the mandate set out in paragraphs 2 and 3 of decision 3/CMP.7.

226. The SBI agreed to continue consideration of this item at its thirty-seventh session, on the basis of the Chair's draft text contained in document FCCC/SBI/2012/15/Add.2, with a view to recommending draft decisions on this matter for adoption by the CMP at its eighth session.

## XVIII. Arrangements for intergovernmental meetings

(Agenda item 18)

### 1. Proceedings

227. The SBI considered this item at its 2<sup>nd</sup> and 3<sup>rd</sup> meetings. It had before it document FCCC/SBI/2012/11. The SBI Chair invited a representative of the Government of Qatar to make a statement. Statements were made by representatives of four Parties, including statements on behalf of the European Union and its member States and on behalf of the Group of 77 and China.

228. At its 2<sup>nd</sup> meeting, the SBI agreed to consider this item in a contact group chaired by the Chair of the SBI, Mr. Chruszczow.

229. At the 3<sup>rd</sup> meeting, Mr. Chruszczow reported on the contact group's consultations and the SBI considered and adopted the conclusions below, as amended.<sup>83</sup>

### 2. Conclusions

230. The SBI expressed its gratitude to the Government of Qatar for its generous offer to host the eighteenth session of the COP and the eighth session of the CMP in Doha, Qatar, from 26 November to 7 December 2012. It took note with appreciation of the preparations and efforts being undertaken by the Government of Qatar and the secretariat to convene COP 18 and CMP 8 in order to facilitate the success of those sessions.

231. The SBI noted the challenges that would be presented by convening seven bodies during the two-week sessional period in Doha. It invited all presiding officers, in consultation with the Bureau, to give serious consideration to means for streamlining work while ensuring that all bodies can complete their mandated tasks. The SBI also invited

<sup>82</sup> Adopted as document FCCC/SBI/2012/L.11.

<sup>83</sup> Adopted as document FCCC/SBI/2012/L.24, as amended.

Parties to bear in mind this scheduling challenge as they deliberated on the organization of work during COP 18 and CMP 8.

232. The SBI recommended that arrangements be made to organize the high-level segment from 4 to 7 December such that statements are delivered by ministers, heads of delegation and representatives of intergovernmental organizations and NGOs.

233. The SBI agreed that arrangements should be made for the delivery of concise national statements by ministers and other heads of delegation, with a recommended time limit of three minutes, and also concise statements by representatives of intergovernmental organizations and NGOs, with a recommended time limit of two minutes, in the joint meetings of the COP and the CMP during the high-level segment.

234. The SBI invited the Bureau of COP 17 and CMP 7 to finalize the details of the arrangements for COP 18 and CMP 8, including arrangements for the high-level segment, in consultation with the President Designate of COP 18 and CMP 8 and the secretariat. The SBI also stressed the importance of the principles of transparency and inclusiveness in the lead-up to and during COP 18 and CMP 8.

235. The SBI noted the agreement of Parties on the importance of additional intersessional resumed sessions of the ad hoc working groups before the Doha Conference. The SBI took note of the information provided by the Executive Secretary on the provisional preparations for additional intersessional resumed sessions of the ad hoc working groups and noted the critical and urgent need for financial contributions in order that the secretariat can make the necessary arrangements. It invited the Executive Secretary to explore cost-saving measures to facilitate these additional intersessional resumed sessions of the ad hoc working groups to be held from Thursday, 30 August to Wednesday, 5 September 2012, at the United Nations Conference Centre at the United Nations Economic and Social Commission for Asia and the Pacific in Bangkok, Thailand. The SBI invited all presiding officers, in consultation with the Bureau, to give serious consideration to streamlining the work of the ad hoc working groups while ensuring that all bodies can complete their mandated tasks. It noted that sufficient voluntary contributions had not been confirmed and that in the absence of such contributions or pledges by 29 May 2012 it would not be possible for the secretariat to make the necessary arrangements.

236. The SBI noted that, in keeping with the principle of rotation among regional groups, the President of COP 19 and CMP 9 would come from the Eastern European States and the President of COP 20 and CMP 10 would come from the Latin America and Caribbean States. The SBI also noted the ongoing consultations with regard to hosting COP 19 and CMP 9 and urged Parties to come to a conclusion on this issue so that a decision could be taken at COP 18. The SBI also invited Parties to come forward with offers to host future sessions of the COP and the CMP, including COP 20 and CMP 10.

237. The SBI recommended the following dates for the sessional periods in 2017 for consideration by the COP at its eighteenth session:

- (a) First sessional period: Wednesday, 10 May to Sunday, 21 May 2017;
- (b) Second sessional period: Wednesday, 29 November to Sunday, 10 December 2017.

238. The SBI invited Parties to submit to the secretariat, by 15 November 2012, their views on ways to improve efficiency and effectiveness, planning, as well as the structure of the process to streamline it, including budgetary implications. The SBI requested the secretariat to compile the views into a miscellaneous document and to prepare a synthesis report based on the above-mentioned submissions for consideration at its thirty-eighth session.

239. The SBI welcomed the continued interest by observer organizations in the UNFCCC sessions and recent developments in the practices for the engagement of observer organizations in the UNFCCC process. The SBI also examined, as agreed at its thirty-fourth session, options for new channels for observer organizations to provide formal inputs into the high-level segment of the sessions of the COP and the CMP.<sup>84</sup>

240. The SBI recalled the conclusions from its thirty-fourth session reaffirming the fundamental value of effective participation by observers and the value of contributions from observer organizations to deliberations on substantive issues, while recognizing the recent exponential increase of participants from observer organizations.<sup>85</sup>

241. The SBI suggested that the hosts of future sessions of the COP and the CMP and the secretariat explore the possibility of holding high-level events, such as a briefing and a round table with observer organizations, including high-level representatives of the private sector, civil society and other stakeholders,<sup>86</sup> in line with existing practices, during the high-level segment of the COP and the CMP, subject to the availability of financial resources.

242. The SBI recalled the conclusions from its thirty-fourth session agreeing that the existing means of engagement of observer organizations could be further enhanced, in the spirit of fostering openness, transparency and inclusiveness, through various ways as set out in the conclusions,<sup>87</sup> and requested the presiding officers, the hosts of future sessions of the COP and the CMP, all Parties and the secretariat to bear in mind these conclusions in engaging stakeholders. The SBI requested the secretariat to provide, at its thirty-eighth session, an update on participation by observer organizations in the UNFCCC process, including on the implementation of the SBI conclusions on this issue from its thirty-fourth session.<sup>88</sup>

## **XIX. Administrative, financial and institutional matters**

(Agenda item 19)

### **A. Budget performance for the biennium 2012–2013**

(Agenda item 19(a))

#### **Continuing review of the functions and operations of the secretariat**

(Agenda item 19(b))

##### **Proceedings**

243. The SBI considered these sub-items at its 2<sup>nd</sup> meeting. It had before it document FCCC/SBI/2012/INF.5. The Executive Secretary and representatives of two Parties made statements.

244. The SBI took note of the status of contributions and called upon Parties that have not paid their contributions to the core budget to do so without delay. A report on efficiency gains achieved by the end of 2012 will be submitted to the SBI for its consideration at its thirty-eighth session. The SBI also took note of the information provided relating to the functions and operations of the secretariat.

<sup>84</sup> As contained in document FCCC/SBI/2012/11, paragraphs 39–46.

<sup>85</sup> FCCC/SBI/2011/7, paragraph 171.

<sup>86</sup> Decision 1/CP.16, paragraph 7, provides a reference to the broad range of stakeholders.

<sup>87</sup> FCCC/SBI/2011/7, paragraph 178.

<sup>88</sup> FCCC/SBI/2011/7, paragraphs 175–178.

## **B. Implementation of the Headquarters Agreement**

(Agenda item 19(c))

### **1. Proceedings**

245. The SBI considered this sub-item at its 2<sup>nd</sup> and 3<sup>rd</sup> meetings. Representatives of four Parties as well as a representative of the Host Government of the secretariat and the Executive Secretary made statements.

246. At the 2<sup>nd</sup> meeting, the Chair proposed drafting conclusions on this sub-item, with the assistance of the secretariat and in consultation with interested Parties.

247. At its 3<sup>rd</sup> meeting, the SBI considered and adopted the conclusions below.<sup>89</sup>

### **2. Conclusions**

248. The SBI took note of the information provided by the representative of the Host Government of the secretariat that construction works on the new conference facilities in Bonn had resumed and that some components of the thirty-eighth sessions of the subsidiary bodies in June 2013 could be held there. The SBI encouraged the Host Government to ensure that the conference facilities are fully completed as soon as possible in 2013. The SBI also noted the reports made by the representative of the Host Government and the Executive Secretary on the progress made in the completion of the new office premises for the secretariat in Bonn. In particular, it noted that the ceremonial handover of the first building is scheduled for United Nations day in October 2012, so that the physical relocation can take place after the eighteenth session of the COP, while a third, new building on the United Nations Campus, together with additional meeting facilities, is expected to be completed by 2017.

249. The SBI thanked the Host Government for the progress reports and acknowledged the special efforts and investments made by both the Host Government and the host city of Bonn. It reiterated concerns regarding the significant delays and ensuing cost implications for all Parties and the difficulties faced by the intergovernmental process and by the secretariat as a consequence of the prolonged shortage of space. It urged the Host Government to expedite the completion of conference and office facilities, including by supporting the city of Bonn in its efforts and by cooperating closely with the secretariat.

250. The SBI encouraged the Host Government to continue facilitating participation in meetings under the Convention and its Kyoto Protocol.

251. The SBI further requested the secretariat to keep Parties informed of progress made in the provision of facilities on an ongoing basis, and invited the Host Government and the Executive Secretary to report to it at its thirty-eighth session on further progress made on these and other aspects of the implementation of the Headquarters Agreement.

## **C. Methodology for the collection of international transaction log fees in the biennium 2014–2015**

(Agenda item 19(d))

### **1. Proceedings**

252. The SBI considered this sub-item at its 2<sup>nd</sup> and 3<sup>rd</sup> meetings. It had before it documents FCCC/TP/2010/1, FCCC/SBI/2009/MISC.3 and Add.1,

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<sup>89</sup> Adopted as document FCCC/SBI/2012/L.17.

FCCC/SBI/2010/MISC.4, FCCC/KP/CMP/2011/7 and Corr.1, FCCC/KP/CMP/2010/8 and FCCC/KP/CMP/2009/19.

253. At its 2<sup>nd</sup> meeting, the SBI agreed to consider this sub-item in informal consultations facilitated by Mr. Toshiaki Nagata (Japan).

254. At the 3<sup>rd</sup> meeting, Mr. Nagata reported on the consultations and the SBI considered and adopted the conclusions below.<sup>90</sup>

## 2. Conclusions

255. The SBI, at its thirty-sixth session, decided to recommend a draft decision for adoption by the CMP at its eighteenth session (for the final text of the draft decision, see document FCCC/SBI/2012/15/Add.1).

## D. Privileges and immunities for individuals serving on constituted bodies established under the Kyoto Protocol

(Agenda item 19(e))

### 1. Proceedings

256. The SBI considered this sub-item at its 2<sup>nd</sup> and 3<sup>rd</sup> meetings.

257. At its 2<sup>nd</sup> meeting, the SBI agreed to consider this sub-item in informal consultations facilitated by Mr. Shimada.

258. At the 3<sup>rd</sup> meeting, Mr. Shimada reported on the consultations and the SBI considered and adopted the conclusions below.<sup>91</sup>

### 2. Conclusions

259. The SBI took note of the views of Parties on privileges and immunities for individuals serving on constituted bodies established under the Kyoto Protocol.

260. The SBI agreed to conclude its consideration of this issue and to forward the draft text contained in document FCCC/SBI/2012/15/Add.2 for consideration by the CMP at its eighth session.

261. The SBI recommended that the CMP, at its eighth session, consider the way forward on this matter, in particular the form of the forum for further discussions and the scope of the discussions.

## E. Policy and budgetary implications of funding the travel and participation in meetings of the constituted bodies of all members and alternate members

(Agenda item 19(f))

### 1. Proceedings

262. The SBI considered this sub-item at its 2<sup>nd</sup> and 3<sup>rd</sup> meetings. It had before it document FCCC/SBI/2012/INF.1.

263. At its 2<sup>nd</sup> meeting, the SBI agreed to consider this sub-item in informal consultations facilitated by the Chair.

<sup>90</sup> Adopted as document FCCC/SBI/2012/L.6.

<sup>91</sup> Adopted as document FCCC/SBI/2012/L.9.

264. At the 3<sup>rd</sup> meeting, the Chair reported on the consultations and the SBI considered and adopted the conclusions below.<sup>92</sup>

## **2. Conclusions**

265. The SBI recalled decisions 27/CMP.1, 4/CMP.2 and 4/CMP.4.

266. The SBI also recalled decisions 1/CP.16, 2/CP.17 and 4/CP.17.

267. The SBI took into account the recommendations made by the Compliance Committee in its annual reports.<sup>93</sup>

268. The SBI considered the report on the policy and budgetary implications of funding the travel and participation in meetings of the constituted bodies of all members and alternate members requested in decision 12/CMP.7 and contained in document FCCC/SBI/2012/INF.1.

269. The SBI took note of the estimated budgetary implications of extending funding for the travel and participation in meetings of the constituted bodies to all members and alternate members, as provided by the secretariat.

270. The SBI recommended to the CMP for its consideration that the current practice relating to funding for travel-related expenditures of members and alternate members of the constituted bodies be maintained.

## **XX. Other matters**

(Agenda item 20)

### **1. Proceedings**

271. The SBI considered this item at its 2<sup>nd</sup> and 3<sup>rd</sup> meetings. At the 2<sup>nd</sup> meeting, the Chair invited a representative of the interim secretariat of the Green Climate Fund to make a statement.

272. At the same meeting, one Party raised the issue of the application of Article 18, paragraph 2, and Article 22, paragraph 2, with respect to the membership of regional economic integration organizations in subsidiary bodies with a limited membership. Representatives of four Parties made statements, including one on behalf of the European Union and its member States. The SBI agreed that the Chair should conduct consultations with interested Parties on this matter.

273. At the 3<sup>rd</sup> meeting, the Chair reported on the consultations he had undertaken on this matter with the assistance of Mr. Robert F. Van Lierop, COP Vice-President, and the SBI adopted the conclusions below.

### **2. Conclusions**

274. The Chair conducted consultations during which Parties discussed the applicability of Articles 18 and 22 of the Convention. The SBI noted that Parties recognized the following treatment of the issue as reflected in the letter presenting the nominations of Annex I Parties to the Standing Committee: "Articles 18, paragraph 2, and 22, paragraph 2, of the Convention apply to the operations of the Standing Committee".

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<sup>92</sup> Adopted as document FCCC/SBI/2012/L.3.

<sup>93</sup> FCCC/KP/CMP/2007/6 and FCCC/KP/CMP/2011/5.

## **XXI. Report on the session**

(Agenda item 21)

275. At its 3<sup>rd</sup> meeting, the SBI considered and adopted the draft report on its thirty-sixth session.<sup>94</sup> At the same meeting, on a proposal by the Chair, the SBI authorized the Rapporteur to complete the report on the session, with the assistance of the secretariat and under the guidance of the Chair.

## **XXII. Closure of the session**

276. At the 3<sup>rd</sup> meeting, the Executive Secretary provided a preliminary evaluation of the administrative and budgetary implications of conclusions adopted during the session. This follows decision 16/CP.9, paragraph 20, which requests the Executive Secretary to provide an indication of the administrative and budgetary implications of decisions<sup>95</sup> if these cannot be met from existing resources within the core budget.

277. The Executive Secretary informed Parties that a number of activities resulting from the negotiations at this session call for extra work by the secretariat and, therefore, the secretariat requires additional resources in the coming year over and above the core budget for 2012–2013. A preliminary estimated amount of an additional EUR 1,980,000 will need to be provided to cover the following activities:

(a) Under agenda item 4(a), “Work of the Consultative Group of Experts on National Communications from Parties not included in Annex I to the Convention”, funding in the amount of EUR 1.0 million is required for two workshops and for the development of e-learning courses;

(b) Under agenda item 5(a), “Prototype of the registry”, the secretariat has been requested to develop and operate the prototype of the registry. The estimated cost amounts to EUR 600,000;

(c) Under agenda item 9, “Approaches to address loss and damage associated with climate change impacts in developing countries that are particularly vulnerable to the adverse effects of climate change to enhance adaptive capacity”, the secretariat has been requested to organize expert meetings. The estimated cost amounts to EUR 335,000;

(d) Under agenda item 11, “Forum and work programme on the impact of the implementation of response measures”, the secretariat has been requested to arrange in-session workshops and an expert meeting. The cost of these activities is estimated at EUR 45,000.

278. At the resumed 3<sup>rd</sup> meeting, closing statements were made by representatives of 11 Parties, including statements on behalf of the European Union and its member States, the Group of 77 and China, the Umbrella Group, the EIG, the LDCs, the African States, the Central American Integration System, the Coalition for Rainforest Nations and AOSIS.

279. In addition, statements were made by representatives of environmental NGOs, local government and municipal authorities, and youth NGOs. The Chair thanked civil society for their valuable contribution to the process.

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<sup>94</sup> Adopted as document FCCC/SBI/2012/L.1.

<sup>95</sup> While decision 16/CP.9 refers to “decisions”, it also has implications for conclusions of the subsidiary bodies.

280. Before closing the session, the Chair thanked delegates and the chairs of contact groups and informal consultations for their contributions. He also thanked the interpreters and security for their support.



## Annex I

### Forum and work programme on the impact of the implementation of response measures

Area	2012		2013			
	<i>Before/during SBs<sup>a</sup> 37</i>		<i>Before/during SBs<sup>a</sup> 38</i>		<i>Before/during SBs<sup>a</sup> 39</i>	
	<i>Actions</i>	<i>Deliverables</i>	<i>Actions</i>	<i>Deliverables</i>	<i>Actions</i>	<i>Deliverables</i>
Sharing of information and expertise, including reporting and promoting understanding of positive and negative impacts of response measures (area (a) <sup>b</sup> )	Submissions from Parties and relevant organizations <sup>d</sup> In-forum workshop <sup>c</sup>	Miscellaneous document Report of the in-forum workshop				
Relevant aspects relating to the implementation of decisions 1/CP.10, 1/CP.13 and 1/CP.16 and Article 2, paragraph 3, and Article 3, paragraph 14, of the Kyoto Protocol (area (f) <sup>b</sup> )	Submissions from Parties and relevant organizations <sup>d</sup> Discussion by Parties	Miscellaneous document Chairs' summary of discussion				
Building collective and individual learning towards a transition to a low greenhouse gas emitting society (area (h) <sup>b</sup> )	Submissions from Parties and relevant organizations <sup>d</sup> In-forum workshop <sup>c</sup>	Miscellaneous document Report of the in-forum workshop				
Assessment and analysis of impacts (area (c) <sup>b</sup> )			Submissions from Parties and relevant organizations <sup>e</sup> In-forum workshop <sup>c</sup>	Miscellaneous document Report of the in-forum workshop		

	2012		2013			
	<i>Before/during SBs<sup>a</sup> 37</i>		<i>Before/during SBs<sup>a</sup> 38</i>		<i>Before/during SBs<sup>a</sup> 39</i>	
<i>Area</i>	<i>Actions</i>	<i>Deliverables</i>	<i>Actions</i>	<i>Deliverables</i>	<i>Actions</i>	<i>Deliverables</i>
Exchanging experience and discussion of opportunities for economic diversification and transformation (area (d) <sup>b</sup> )			Submissions from Parties and relevant organizations <sup>e</sup>	Miscellaneous document		
			In-forum workshop <sup>c</sup>	Report of the in-forum workshop		
Economic modelling and socio-economic trends (area (e) <sup>b</sup> )			Submissions from Parties and relevant organizations <sup>e</sup>	Miscellaneous document		
			In-forum expert meeting	Expert meeting report		
Just transition of the workforce, and the creation of decent work and quality jobs (area (g) <sup>b</sup> )			Submissions from Parties and relevant organizations <sup>e</sup>	Miscellaneous document		
			In-forum workshop <sup>c</sup>	Report of the in-forum workshop		
Cooperation on response strategies (area (b) <sup>b</sup> )					Submissions from Parties and relevant organizations <sup>f</sup>	Miscellaneous document
					In-forum workshop <sup>c</sup>	Report of the in-forum workshop
General						Review of the work of the forum and preparation of recommendations to the Conference of the Parties

*Note:* The submissions may include experiences, case studies, best practices and views.

<sup>a</sup> The Subsidiary Body for Scientific and Technological Advice and the Subsidiary Body for Implementation.

<sup>b</sup> This refers to areas in accordance with decision 8/CP.17, paragraph 1.

<sup>c</sup> Discussions at the forum will be held in a workshop-like format with presentations from Parties and relevant organizations, followed by interactive exchange of views.

<sup>d</sup> In order to facilitate the preparation of the miscellaneous documents, Parties may provide their views in separate submissions or in a single submission consisting of distinct sections addressing areas (a), (f) and (h) of the work programme in accordance with decision 8/CP.17, paragraph 1. The deadline for submission is 17 September 2012.

<sup>e</sup> In order to facilitate the preparation of the miscellaneous documents, Parties may provide their views in separate submissions or in a single submission consisting of distinct sections addressing areas (c), (d), (e), and (g) of the work programme in accordance with decision 8/CP.17, paragraph 1. The deadline for submission is 25 March 2013.

<sup>f</sup> Parties may provide their views only addressing area (b) of the work programme in accordance with decision 8/CP.17, paragraph 1. The deadline for submission is 2 September 2013.

## Annex II

### Documents before the Subsidiary Body for Implementation at its thirty-sixth session

#### Documents prepared for the session

FCCC/SBI/2012/1	Provisional agenda and annotations. Note by the Executive Secretary
FCCC/SB/2012/1	Report on the linkage modalities and the rolling workplan of the Technology Executive Committee for 2012–2013. Note by the Chair of the Technology Executive Committee
FCCC/SBI/2012/2	Progress report on the work of the Consultative Group of Experts on National Communications from Parties not included in Annex I to the Convention. Note by the secretariat
FCCC/SBI/2012/3	Report on progress made in, and the effectiveness of, the implementation of the amended New Delhi work programme on Article 6 of the Convention. Note by the secretariat
FCCC/SBI/2012/4	Report on the further development of CC:iNet. Note by the secretariat
FCCC/SBI/2012/5	Possible elements of a successor work programme on Article 6 of the Convention. Note by the secretariat
FCCC/SBI/2012/7	Report on the twenty-first meeting of the Least Developed Countries Expert Group. Note by the secretariat
FCCC/SBI/2012/8	Synthesis report on the support for the national adaptation plan process for the least developed countries. Note by the secretariat
FCCC/SBI/2012/9	Report of the Global Environment Facility on the progress made in carrying out the Poznan strategic programme on technology transfer. Note by the secretariat
FCCC/SBI/2012/10	Analysis of progress made in, and the effectiveness of, the implementation of the framework for capacity-building in countries with economies in transition in support of the third comprehensive review. Note by the secretariat
FCCC/SBI/2012/11	Arrangements for intergovernmental meetings. Note by the Executive Secretary
FCCC/SBI/2012/12	Progress report on the work of the Consultative Group of Experts on National Communications from Parties not included in Annex I to the Convention: report on the hands-on training workshop for the Africa region on vulnerability and adaptation assessment. Note by the secretariat
FCCC/SBI/2012/13	Progress report on the work of the Consultative Group of Experts on National Communications from Parties not included in Annex I to the Convention: report on the hands-on training

	workshop for the Asia and the Pacific region on greenhouse gas inventories. Note by the secretariat
FCCC/SBI/2012/14	Progress report on the work of the Consultative Group of Experts on National Communications from Parties not included in Annex I to the Convention: report on the hands-on training workshop for the Asia and the Pacific region on mitigation assessment. Note by the secretariat
FCCC/SBI/2012/INF.1	Report on the policy and budgetary implications of funding the travel and participation in meetings of the constituted bodies of all members and alternate members. Note by the secretariat
FCCC/SBI/2012/INF.2	Views of the Adaptation Fund Board on the report of the review of the interim arrangements of the Adaptation Fund. Note by the secretariat
FCCC/SBI/2012/INF.3	Report on the expert meeting on assessing the risk of loss and damage associated with the adverse effects of climate change. Note by the secretariat
FCCC/SBI/2012/INF.4	Report on the evaluation of proposals for hosting the Climate Technology Centre
FCCC/SBI/2012/INF.5	Status of contributions as at 1 May 2012. Note by the secretariat
FCCC/SBI/2012/INF.6	Status of submission and review of fifth national communications. Note by the secretariat
FCCC/SBI/2012/INF.7	Information on financial support provided by the Global Environment Facility for the preparation of national communications from Parties not included in Annex I to the Convention. Note by the secretariat
FCCC/SBI/2012/MISC.1	Information on how organizations and agencies have responded to the invitation to support the national adaptation plan process in the least developed countries and consider establishing support programmes. Submissions from United Nations organizations, specialized agencies and other relevant organizations, as well as bilateral and multilateral agencies
FCCC/SBI/2012/MISC.2 and Add.1	Information on support to the national adaptation plan process in the least developed countries. Submissions from Parties and relevant organizations as well as bilateral and multilateral agencies
FCCC/SBI/2012/MISC.3	Information from the Global Environment Facility, as an operating entity of the financial mechanism for the operation of the Least Developed Countries Fund, on how it could enable activities undertaken as part of the national adaptation plan process in the least developed countries. Submission from the Global Environment Facility
FCCC/SBI/2012/MISC.4	Views of Parties, intergovernmental and non-governmental organizations, and stakeholders, on the review of the implementation of the amended New Delhi work programme and on possible elements of a successor work programme on Article 6 of the Convention. Submissions from Parties and

	relevant organizations
FCCC/SBI/2012/MISC.5	Information on activities undertaken to implement the framework for capacity-building in countries with economies in transition. Submissions from Parties and relevant organizations
FCCC/SBI/2012/MISC.6	Views from Parties on the term and mandate of the Consultative Group of Experts on National Communications from Parties not included in Annex I to the Convention and the need for continuation of the group. Submissions from Parties
FCCC/SBI/2012/MISC.7	Information by Parties not included in Annex I to the Convention on the detailed costs incurred, including in-kind contributions, for the preparation of their most recent national communications, and on the financial resources received through the Global Environment Facility. Submissions from Parties
FCCC/SBI/2012/MISC.8	Views of Parties on the composition, modalities and procedures of the team of technical experts referred to in decision 2/CP.17, annex IV, paragraph 1. Submissions from Parties
FCCC/SBI/2012/L.1	Draft report of the Subsidiary Body for Implementation on its thirty-sixth session
FCCC/SBI/2012/L.2	Matters relating to the least developed countries. Draft conclusions proposed by the Chair
FCCC/SBI/2012/L.3	Policy and budgetary implications of funding the travel and participation in meetings of the constituted bodies of all members and alternate members. Draft conclusions proposed by the Chair
FCCC/SBI/2012/L.4	Capacity-building under the Convention. Draft conclusions proposed by the Chair
FCCC/SBI/2012/L.5	Capacity-building under the Kyoto Protocol. Draft conclusions proposed by the Chair
FCCC/SBI/2012/L.6	Methodology for the collection of international transaction log fees in the biennium 2014–2015. Draft conclusions proposed by the Chair
FCCC/SBI/2012/L.7	National adaptation plans. Draft conclusions proposed by the Chair
FCCC/SBI/2012/L.8	Procedures, mechanisms and institutional arrangements for appeals against the decisions of the Executive Board of the clean development mechanisms. Draft conclusions proposed by the Chair
FCCC/SBI/2012/L.9	Privileges and immunities for individuals serving on constituted bodies established under the Kyoto Protocol. Draft conclusions proposed by the Chair
FCCC/SBI/2012/L.10	Prototype of the registry. Draft conclusions proposed by the Chair

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FCCC/SBI/2012/L.11	Review of the design of the commitment period reserve. Draft conclusions proposed by the Chair
FCCC/SBI/2012/L.12	Approaches to loss and damage associated with climate change impacts in developing countries that are particularly vulnerable to the adverse effects of climate change to enhance adaptive capacity <sup>1</sup> - Activities to be undertaken under the work programme. Draft conclusions proposed by the Chair
FCCC/SBI/2012/L.13	Initial review of the Adaptation Fund under the Kyoto Protocol. Draft conclusions proposed by the Chair
FCCC/SBI/2012/L.14	Other matters relating to finance. Draft conclusions proposed by the Chair
FCCC/SBI/2012/L.15	Compilation and synthesis of supplementary information incorporated in fifth national communications from Parties included in Annex I to the Convention that are also Parties to the Kyoto Protocol and submitted in accordance with Article 7, paragraph 2, of the Kyoto Protocol. Draft conclusions proposed by the Chair
FCCC/SBI/2012/L.15/Add.1	Compilation and synthesis of supplementary information incorporated in fifth national communications from Parties included in Annex I to the Convention that are also Parties to the Kyoto Protocol and submitted in accordance with Article 7, paragraph 2, of the Kyoto Protocol. Addendum. Recommendation of the Subsidiary Body for Implementation
FCCC/SBI/2012/L.16	Status of submission and review of fifth national communications from Parties included in Annex I to the Convention. Compilation and synthesis of fifth national communications from Parties included in Annex I to the Convention. Draft conclusions proposed by the Chair
FCCC/SBI/2012/L.16/Add.1	Status of submission and review of fifth national communications from Parties included in Annex I to the Convention. Compilation and synthesis of fifth national communications from Parties included in Annex I to the Convention. Addendum. Recommendation of the Subsidiary Body for Implementation
FCCC/SBI/2012/L.17	Implementation of the Headquarters Agreement. Draft conclusions proposed by the Chair
FCCC/SBI/2012/L.18	Matters relating to the Climate Change Technology Centre and Network: selection of the host and constitution of the advisory board. Draft conclusions proposed by the Chair
FCCC/SBI/2012/L.19	Poznan strategic programme on technology transfer. Draft conclusions proposed by the Chair
FCCC/SBI/2012/L.20	Report of the Technology Executive Committee. Draft conclusions proposed by the Chair
FCCC/SBI/2012/L.21	Composition, modalities and procedures of the team of

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<sup>1</sup> Decision 1/CP.16, paragraphs 26–29.

	technical experts under international consultations and analysis. Draft conclusions proposed by the Chair
FCCC/SBI/2012/L.22	Work of the Consultative Group of Experts on National Communications from Parties not included in Annex I to the Convention. Draft conclusions proposed by the Chair
FCCC/SBI/2012/L.23	Provision of financial and technical support. Draft conclusions proposed by the Chair
FCCC/SBI/2012/L.24	Arrangements for intergovernmental meetings. Draft conclusions proposed by the Chair
FCCC/SBSTA/2012/L.18– FCCC/SBI/2012/L.25	Forum and work programme on the impact of the implementation of response measures. Draft conclusions proposed by the Chairs
FCCC/SBI/2012/L.26	Article 6 of the Convention. Draft conclusions proposed by the Chair

**Other documents before the session**

FCCC/SBI/2011/17, annex I	Report of the Subsidiary Body for Implementation on its thirty-fifth session, held in Durban from 28 November to 3 December 2011
FCCC/SBI/2011/INF.1	Compilation and synthesis of fifth national communications. Executive summary. Note by the secretariat
FCCC/SBI/2011/INF.1/Add.1	Compilation and synthesis of fifth national communications. Note by the secretariat. Addendum. Policies, measures, and past and projected future greenhouse gas emission trends of Parties included in Annex I to the Convention
FCCC/SBI/2011/INF.1/Add.2	Compilation and synthesis of fifth national communications. Note by the secretariat. Addendum. Financial resources, technology transfer, vulnerability, adaptation and other issues relating to the implementation of the Convention by Parties included in Annex I to the Convention
FCCC/SBI/2011/INF.2	Compilation and synthesis of supplementary information incorporated in fifth national communications submitted in accordance with Article 7, paragraph 2, of the Kyoto Protocol. Note by the secretariat
FCCC/SBI/2011/MISC.2	Views on procedures, mechanisms and institutional arrangements for appeals against the decisions of the Executive Board of the clean development mechanism. Submissions from Parties and relevant organizations
FCCC/SBI/2010/MISC.4	Views on the approach to be taken by the Executive Secretary with regard to the collection of international transaction log fees. Submissions from Parties
FCCC/SBI/2009/MISC.3 and Add.1	Approach to be taken by the Executive Secretary with regard to the collection of fees for the international transaction log. Submissions from Parties



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FCCC/CP/2011/7 and Add.2	Report of the Global Environment Facility to the Conference of the Parties. Note by the secretariat
FCCC/KP/CMP/2011/6 and Add.1	Report of the Adaptation Fund Board. Note by the secretariat
FCCC/KP/CMP/2011/7 and Corr.1	Annual report of the administrator of the international transaction log under the Kyoto Protocol. Note by the secretariat
FCCC/KP/CMP/2011/MISC.1	Views on the review of the Adaptation Fund. Submissions from Parties and interested international organizations and stakeholders
FCCC/KP/CMP/2010/8	Annual report of the administrator of the international transaction log under the Kyoto Protocol. Note by the secretariat
FCCC/KP/CMP/2009/19	Annual report of the administrator of the international transaction log under the Kyoto Protocol. Note by the secretariat
FCCC/KP/CMP/2005/2	Proposal from Saudi Arabia to amend the Kyoto Protocol. Note by the secretariat
FCCC/TP/2012/1	Current knowledge on relevant methodologies and data requirements as well as lessons learned and gaps identified at different levels, in assessing the risk of loss and damage associated with the adverse effects of climate change. Technical paper
FCCC/TP/2011/3	Procedures, mechanisms and institutional arrangements for appeals against the decisions of the Executive Board of the clean development mechanism. Technical paper
FCCC/TP/2010/1	Options for methodologies for the collection of international transaction log fees. Technical paper

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