## **Outline of presentation**



- Main research question
- New Early Warning System (EWS) Framework
  →Beyond four components
- Application / Research Data
- Results and Discussions
- Conclusion

## **Main Research Question**



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How do certain attributes of governance and institutions function and how should they function in society to enhance the capacity to manage resilience in the case of uncertain tsunami risks.

#### The New Integrated Early Warning System –Governance Framework



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System of Governance

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[Political, Economic interference in the provided in the provi



![](_page_3_Picture_0.jpeg)

## **Analytical Steps**

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![](_page_3_Figure_4.jpeg)

## Application

![](_page_4_Picture_1.jpeg)

![](_page_4_Figure_2.jpeg)

### Data

![](_page_5_Picture_1.jpeg)

- Actor Expert Interviews
- Informants Interviews
- Focus group discussions
- Surveys
- Technical papers and documents
- Media material
- Other secondary quantitative data

### Systems of Governance to Implement and UNITED NATIONS Sustain TEWS in Indonesia

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 Emerging strong economic system of governance in Indonesia

Wide governance gap between Indonesia compared to the United States and Japan.

 Indonesia will face tough challenges to implement and sustain an effective TEWS

![](_page_6_Picture_5.jpeg)

Source: Author 2010

#### TEWS/ DRR Related Architectures and Structures Evolution of Institutional Changes Related to DRR in Indonesia

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	)	Coordination of			Manage Induced Disasters and	Disaster Risk Reduction / Disaster Management Paradigm Shift from Response towards Preparedness				
Goal		Emerg Relief	Disaster	Response	Social Unrest				Steering Committee [Formulate Policies, Monitoring and Evaluation] Executive Board	Multi- Sector Participation
Change		Advisory Board	NAT DM Coord Board BAKORNAS PBA	NAT DM Coord Board BAKORNAS PB	NAT DM Coord Board BAKORNAS PBP	INATEWS Dev	DRR Action Plan 2006-2009	DRR Action Plan 2006-2009	[Coordinate Command and Execute]	
Structural									<sup>^</sup> Creation of Multi-Level DM Agencies	Nat DRR Platform
Legal Basis	Constitution		Pres Decree No. 28 / 1979	Pres Decree No. 43 / 1990	Pres Decree NO. 106 / 1999	Min Decree NO. 21 / 2005 HFA initially signed	Pres Decree NO. 19 / 2006	DM Law NO. 24/ 2007	Pres Decree: No .8 / 2008 <sup>^</sup> Gov Regulation: PP No. 21/ 2008- DM Arrangement PP No22/2008- Funding PP No 23/ 2008 –External support	
	1945	1966	1979	1990	1999	2005	2006	2007	2008	2009*
INATEWS: Indonesian Tsunami Early Warning System *Full implementation (Institutionalized in 2008)							Dev: Development    Pres: Presidential      Nat: National    Min: Ministerial      HFA: Hyogo Framework for Action 2005-2015    Coord: Coordination      Emerg: Emergency			

Source: Author 2010

## TEWS / DRR Related Architectures and Structures

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- There are many challenges in implementing the polycentric-multi-layered architectures and structures.
  - Few provinces and districts have actually completed the DM local regulation and established the subnational DM agencies and EOCs.

## The key obstacles include:

- Multi-level commitment
- Bureaucracy
- Lack of financial resources and specialised human capacities.

## Actors Interaction with the TEWS / DRR Architecture in Indonesia

![](_page_9_Picture_1.jpeg)

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 Relatively high degree of multistakeholder participation

 New steering committee of DM Agency (BNBP) consisting of professional citizens

![](_page_9_Figure_6.jpeg)

![](_page_9_Figure_7.jpeg)

![](_page_10_Picture_0.jpeg)

## **Disaster Management Financing**

The DM financing:

- Increased (2.1 % national budget)
- Integrated in the government annual plans
- Increased at the local level (i.e. financial decentralisation)

![](_page_10_Figure_6.jpeg)

Data source: Ministry of Finance, Indonesia 2009

![](_page_10_Picture_8.jpeg)

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## **Disaster Management Financing**

![](_page_11_Picture_1.jpeg)

However, budget allocation is:

- Significantly spatially variable leading to unjust allocation of funds
- Mostly for post disaster response.
  - > DM financing is an issue that is constantly contested and negotiated.

# The TEWS at the Level of Padang and Bali

![](_page_12_Picture_1.jpeg)

Similar polycentric-multi-layered architectures are being developed and implemented in Bali and Padang.

> • Governor Decree to legitimise the establishment and functions of the local BNPB and EOC.

A tsunami warning chain is gradually emerging

Province and districts with EOC have the mandate to make decisions what to do according to the SOPs once tsunami information is received (Governor Decree)

![](_page_12_Figure_6.jpeg)

Source: Author 2010

# The TEWS at the Level of Padang and Bali

![](_page_13_Picture_1.jpeg)

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- The key contrasts between the development of tsunami resilience in Padang and Bali are as follows:
  - Political commitment and participation are perceived to be higher in Padang.
  - Risk knowledge generation has been a highly contested area in Padang.
  - Padang has made more progress in institutional disaster preparedness
  - The emerging multi-stakeholder partnership and institutional arrangements with the tourism sector, the traditional and cultural structures are far more complex in Bali.

## Agency in DRR and TEWS Development

![](_page_14_Picture_1.jpeg)

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- At least two non-state actors have emerged as agents and have exercised agency beyond the state where and when the state was unable to effectively respond.
  - MPBI (Indonesian Society for DM) exercised agency in institutional change in DM Indonesia.
  - KOGAMI (Tsunami Alert Community) exercised agency in community disaster preparedness in Padang, Indonesia.
- The underlying conditions of their authority and legitimacy include:
  - > A mix of knowledge base
  - > Consent and trust from the state
  - > Support from the community.
  - > Extensive network at multiple levels and scales
  - Flexibility

# Towards a Future Effective and Sustainable TEWS

![](_page_15_Picture_1.jpeg)

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- There is sufficient evidence to suggest that the current TEWS which relies on a technocratic approach and follows a multi-level linear warning chain process would be partially or completely ineffective in dealing with the earthquake-tsunami risks as revealed in the case studies.
- Tensions arise and there is constant debate about the actual mode of TEWS governance
  - "…Official earthquake-tsunami information was largely absent in the first 30 minutes after the earthquake in Padang" (GTZ-IS 2010).

# Towards a Future Effective and Sustainable TEWS

![](_page_16_Picture_1.jpeg)

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From a resilience point of view it is argued that there is a problem of the fit and adaptability of the existing TEWS to the ecological challenge.

Hence, a theoretical basis of a TEWS framework is proposed.

The key idea is that EW starts not only with the instruments but also the people!

### **Towards a Future Effective and Sustainable TEWS**

![](_page_17_Picture_1.jpeg)

In the case that a significant earthquake is • Micro-level reaction -Nonfelt for long enough duration, the EOC at provincial and district level should linear behaviour independently have the mandate to activate the alarms to lead the local TEWS EQf: Earthquake felt process. (NOT THE CASE NOW) **Bali, Padang Province** (Governor) **Emergency Operations** Centre (EOC) Local Decisions if EQ>15 s EQf: Earthquake felt EQf PB: Perception/Belief Activate sirens SC: Social confirmation **DS: Defining Situation** IO: Independent Observation SC PB DS **Bali, Padang District** (Mayor) **Emergency Operations Centre (EOC)** Local Decisions if EQ>15 s Activate sirens 10 Coastal Community at Risk **Anticipated Response** [T<5 to 10 min] Padang (Mosques) Bali (Kulkul)

![](_page_18_Figure_0.jpeg)

Source: Author 2010

## Conclusion

![](_page_19_Picture_1.jpeg)

![](_page_19_Picture_2.jpeg)

- Risk governance, multi-institutional arrangements and polycentric frameworks has strengthened the resilience capacities of Indonesia but are strongly affected by institutional path dependencies;
- Systems of governance strongly drives TEWS effectiveness and sustainability, however multi-level incentive mechanisms operating at different time and scales are needed to effect the desired change;
- The future of the INATEWS should rest on:
  - Both system and people-centred –Mixed model approach and
  - A broader city planning and governance strategy
- New proposed framework provided an in-depth understanding in

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![](_page_20_Picture_0.jpeg)

## END

THANK

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