

## Appendix 2:

# The Consultative Process and List of Consultations

An initial discussion towards the development of this document was facilitated by the UN Office for Disaster Risk Reduction during the 2017 Global Platform for Disaster Risk Reduction in Cancun, Mexico. The discussion was attended by over thirty DRR stakeholders from national and local government, national training institutes and academia, nongovernmental organizations, UN and other relevant actors and experts.

The participants acknowledged the great demand for CD for implementation of the Sendai Framework, and identified gaps in the existing initiatives. The discussion was open and focused on all areas of CD for implementation of the Sendai Framework, including possible areas of priorities such as the use of risk information, risk-informed development plans and strategies, Sendai Framework monitoring, understanding the links between DRR and development, among others. Participants called for regional consultations to further identify CD needs as well as to understand existing strengths and capacities.

Between July and November 2017, regional consultations were convened by the UN Office for Disaster Risk Reduction Global Education and Training Institute (GETI) in collaboration with regional offices. The consultations brought together over 150 representatives of 38 Member States, 14 local government authorities and city networks, 14 UN and international organizations including from country teams, 12 nongovernmental organizations, 10 intergovernmental organizations, 7 academic and scientific organizations, and 4 private sector entities.

The two-day in-person consultations sought to understand the most urgent CD needs for Sendai Framework implementation and disaster risk sensitive development planning among countries. The consultation was designed to highlight gaps and opportunities, consult on the most appropriate solutions to address the evolving needs, and establish a way forward to support CD for DRR programming.

An instrument developed by the UN Office for Disaster Risk Reduction Global Education and Training Institute (GETI) for the consultation purpose included indicators selected from draft custom nationally determined indicators of the Sendai Framework Monitor to stimulate understanding of implementation requirements. In groups, participants discussed the capacity needs and obstacles to implementing the actions required to achieve the aspirations of the Sendai Framework. Through this process, participants understood the magnitude and scale of the task ahead of them to implement and report progress on the Sendai Framework.

The first day provided a facilitated understanding of the full dimensions of CD and identified critical or priority needs of UN Member States, as well as obstacles. The second day validated common principles, identified existing approaches, and proposed solutions and partners

for sustainable CD. The outcomes of the consultation have been used as the basis for this document.

The in-person consultations were complemented by online consultations undertaken primarily in November 2017 which sought additional national government, expert and stakeholder views on the obstacles, most urgent needs, principles, proposed approaches and partnerships for sustainable CD. The online consultations further validated and elaborated the priority areas identified during the in-person consultations.

Online consultations occurred in two formats: (i) a short online survey sent to targeted stakeholder groups such as the UN Office for Disaster Risk Reduction Science and Technology Advisory Group (STAG), the Private Sector Alliance for Disaster Resilient Societies (ARISE) and the Global Network of Civil Society Organisations for Disaster Reduction (GNDR); (ii) a longer survey sent to additional national government representatives, CD experts and stakeholders involved with the CD needs of countries with whom follow-up calls for individual interviews were made.

A global expert consultation was conducted upon completion of a zero draft of the document in Geneva on 14-15 March 2018. Over 100 stakeholders including representatives from UN Member States, regional intergovernmental organizations involved in CD, members of the UN DRR focal point group, CADRI, STAG, the ARISE Board, Global Risk Assessment Framework experts, and others, were in attendance. This meeting enabled a thorough critique of the document and validation of the guidance proposed. Implementation and monitoring strategies were identified and mechanisms for partnership coordination were established. The total of participants in the consultation events is 328.

The resulting Strategic Approach was then presented and discussed during 2018 Regional Platforms for Disaster Risk Reduction where the approach was affirmed, and language refinements recommended. During the final Regional Platform, Member States and IGOs also began to share examples of what a more strategic approach to capacity development looks like. Cases are to be documented and updated within this living document in the future. The total of participants in the Regional Platform events is 148.

## List of Consultations

Region(s)	Event	Location & Dates	Members States	Stakeholders
<b>Global</b>	Discussion: Global Capacity Development Strategy for Implementation of the Sendai Framework for Disaster Risk Reduction (56 participants)	23 May 2017, 2017 Global Platform, Cancun, Mexico	Australia, Bosnia and Herzegovina, Croatia, Egypt, Guatemala, Kuwait, Lebanon, Mongolia, South Sudan, Swaziland, Sweden, Zambia	Local government: La Plata, Argentina, Tecoluca, El Salvador; Aqaba City, Jordan; Chiapas, Mexico; Iriga City, Philippines; IGO: CEPREDENEC, DPPI-SEE, Pacific Community NGO: ASB, CANEUS, CBM, GNDR, CMB New Zealand, Fundación todo tuyo Maria Riadis, Panama; UN and International: ADPC, CADRI, FAO, GFDRR, UNDP Indonesia, UNICEF, UNESCO, WHO, Academia: CUDRR+R, CEPED Brasil, REDULAC/RRD, University of Alabama in Huntsville, Nagasaki University, Massey University / Joint Centre for Disaster Research, Private Sector/Foundations: Instituto de Gestión Desarrollo y Negocios, Bill and Melinda Gates Foundation, RESILIENT/CITY
<b>Africa, Asia</b>	Consultation during the KOICA-UNISDR Joint Fellowship Programme module on the Understanding the Sendai Framework at National Level: Development of Risk Reduction Strategies and Plans (18 participants)	5 July 2017, Incheon, Republic of Korea	Africa: Cameroon, Ghana, Mozambique Asia: Indonesia, Philippines, Sri Lanka	N/A

Region(s)	Event	Location & Dates	Members States	Stakeholders
<b>Arab States, Asia</b>	Consultation during the Training of Trainers Workshop on the Understanding the Sendai Framework at Local and National Level (15 participants)	18-20 July 2017, Incheon, Republic of Korea	Arab States: Lebanon	Arab States: UN: UNDP Lebanon, UNDP Tunisia, Local government: Union of Municipalities of Zghorta, Lebanon; Khartoum State, Sudan; Makati City, Philippines; Incheon, ROK; NGO: Center for Environment and Development for the Arab Region and Europe, Egypt; Osman Ahmed Osman Institution, Egypt Asia: South & Southwest Sub-region United Cities and Local Governments Asia Pacific (UCLG ASPAC); Municipal Association of Bangladesh-MAB & Bangladesh Union Parishad Forum (BUPF); Association of District Development Committees of Nepal (ADDCN); AIILSG;
<b>Arab States</b>	Arab States Consultation: Capacity Development Strategy for Implementation of the Sendai Framework (14 participants)	9-10 October 2017, Abu Dhabi, United Arab Emirates	Lebanon, Palestine, Sudan, Tunisia, United Arab Emirates	Local government: Aqaba, Jordan IGO: League of Arab States (LAS)

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<b>Africa, Americas, Arab States, Asia</b>	Consultation during the Training of Trainers Workshop on the Understanding the Sendai Framework at National Level: Development of Risk Reduction Strategies and Plans and Introduction to Monitoring (24 participants)	17-18 October 2017, Incheon, Republic of Korea	Africa: Ethiopia, Kenya, Liberia, Mauritius, Swaziland, Zambia Arab States: Tunisia Americas: Argentina, The Dominican Republic, Guatemala, Paraguay	IGO: African Union (AU), Central American Centre for the Coordination of Natural Disasters (CEPREDENAC); Local government: Catbalogan City, Philippines NGO: Asian Disaster Preparedness Center Academy (ADPC), Egyptian
<b>Americas</b>	Americas Consultation: Capacity Development Strategy for Implementation of the Sendai Framework (22 participants)	26-27 October 2017, Panama	Argentina, Colombia, Costa Rica, Ecuador, Guatemala, Nicaragua, Paraguay, Saint Lucia, Uruguay	IGO: CEPREDENAC, CDEMA, CAN, EU/ECHO Academia: Consejo Superior Universitario Centroamericano (CSUCA); Latin American and Caribbean Network of Universities for DRR (REDULAC) UN: UNDP LAC; IFRC NGO: GNDR LAC
<b>Africa</b>	Africa Consultation: Capacity Development Strategy for Implementation of the Sendai Framework (4 participants)	Incheon, Republic of Korea	Mauritius, South Sudan	IGO: IGAD

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<b>Asia and the Pacific</b>	Asia-Pacific Consultation: Capacity Development Strategy for Implementation of the Sendai Framework (16 participants)	6-7 November 2017, Bangkok, Thailand	Australia, Mongolia, Vietnam, Thailand	IGO: ASEAN, ECO; UN & International: IFRC Regional Centre; UNDP Bangkok Regional Hub, UN Women Vietnam, OCHA Regional Centre; Private sector: ARISE Japan NGO: ADPC, Duryog Nivaran, SEEDS
<b>Online</b>	Online consultations towards the development Global Capacity Development Strategy for Implementation of the Sendai Framework (2 surveys, one with follow-up interviews) (49 total participants; 44 surveys only; 5 surveys with follow-up discussion)	November-December 2017	Bosnia and Herzegovina, Cote d'Ivoire, The Gambia, Ghana, Maldives, Nepal, Sierra Leone, Swaziland, and (2) anonymous.	5 Academia working in: Perú, Iran, Japan, Mauritius, the Philippines. 3 Local government of: the Philippines, Uganda. 20 NGOs working in: Afghanistan, Argentina, (2) Bangladesh, Burundi, Central African Republic, El Salvador, Haiti, Irak, Jordan, the Philippines, Nepal, Nigeria, Pakistan, (2) Peru, Somalia, South Sudan, Sweden, Syria, Turkey, United Kingdom, (2) United States, and (2) anonymous. 2 Private sector working in: Mauritius, globally. 4 Regional organizations working in: East Africa; Pacific Region; (2) South and Southeast Asia.

Region(s)	Event	Location & Dates	Members States	Stakeholders
Global	Global expert consultation on the zero draft of the Global Capacity Development Strategy in support of the implementation of the Sendai Framework for Disaster Risk Reduction (110 participants)	14-15 March, 2018	Argentina, Australia, Azerbaijan, Belgium, Bolivia, Brazil, Colombia, Czech Republic, DPRK, Egypt, Georgia, Ghana, Greece, Holy See, Indonesia, Japan, Jordan, Lao PDR, Lebanon, Madagascar, Mali, Mexico, Mongolia, Montenegro, Morocco, Nepal, Nigeria, Peru, Philippines, Portugal, Russian Federation, Senegal, Slovakia, South Sudan, Sri Lanka, Sweden, Switzerland, The former Yugoslav Republic of Macedonia, Uruguay, Uzbekistan, Venezuela	Asian Disaster Preparedness Centre (ADPC), Bahá'í International Community, Capacity for Disaster Reduction Initiative (CADRI), Caribbean Disaster Emergency Management Agency (CDEMA), Centro de Coordinación para la Prevención de los Desastres Naturales en América Central (CEPREDENAC), CIMA Foundation, DRI International, Economic Community of Central African States (ECCAS), Economic Cooperation Organization (ECO), European Commission DG ECHO, Expertise France, FAO, Florida International University, Global Network of Civil Society Organisations for Disaster Reduction (GNDR), IFRC, IGAD Secretariat, ILO, Information and Knowledge Management for Disaster Risk Reduction (IKM4DRR), International Council for Adult Education, International Training Centre of the ILO, IOM, ITU, League of Arab States, Lund University, Making Cities Resilient Advocate, Pacific Community, Pacific Disaster Center, Peri U and Makerere University, Platform for Disaster Displacement, Public Health England, STAG and Indonesian Institute of Sciences, Switzerland Centre for Education and Research in Humanitarian Action, The University of the South Pacific, UN Environment, UN Major Group for Children & Youth, UN OCHA, UN WOMEN, UNDOCO, UNDP, UNECE, UNESCO, UNFPA, UNISDR, University of Geneva, UNSSC, WFP, WHO