Asia-Pacific Input Document for the Post-2015 Framework for Disaster Risk Reduction (HFA2)

FINAL DRAFT

Risk sensitive development as the cornerstone of resilience and sustainability
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The 7 key area papers are available at http://6thamcdrr-thailand.net.

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## Acronyms

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<tr>
<td>AMCDRR</td>
<td>The Asian Ministerial Conference for Disaster Risk Reduction</td>
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<td>ADPC</td>
<td>The Asian Disaster Preparedness Centre</td>
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<td>ADRRN</td>
<td>Asian Disaster Reduction and Response Network</td>
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<td>CBDRM</td>
<td>Community Based Disaster Risk Management</td>
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<td>CEDAW</td>
<td>Convention for Elimination of all forms of Discrimination Against Women</td>
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<td>CSOs</td>
<td>Civil Society Organizations</td>
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<td>DRR</td>
<td>Disaster risk reduction</td>
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<td>HFA</td>
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<td>IGO</td>
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<td>LDCs</td>
<td>Least Developed Countries</td>
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<td>PPP</td>
<td>Public-Private-Partnership</td>
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<td>SDGs</td>
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<td>SMEs</td>
<td>Small and Medium Enterprises</td>
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<td>UNESCAP</td>
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<td>UNFCCC</td>
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<td>UNISDR</td>
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1. Introduction

The Hyogo Framework for Action 2005-2015 (HFA): Building the resilience of nations and communities to disasters is approaching the end of its current time frame. At the request of the United Nations (UN) General Assembly\(^1\), the UN Office for Disaster Risk Reduction (UNISDR) has facilitated consultations with countries and organizations at all levels and in different regions on the next phase of the HFA (Post-2015 framework for disaster risk reduction, hereafter, HFA2). Consultations in the Asia-Pacific have culminated in the development of this document, which will be used to guide discussions at the 6\(^{th}\) Asian Ministerial Conference for Disaster Risk Reduction (6\(^{th}\) AMCDRR) and become a key contribution from the Asia-Pacific region to the HFA2 discussions at the Preparatory Committee meetings for the 3\(^{rd}\) World Conference for Disaster Risk Reduction in March 2015 in Sendai, Japan.

The information presented in this document is based on a region-wide process of consultation and evidence gathering. During the global Phase I consultation process for HFA2 (March 2012 – May 2013), UNISDR Asia-Pacific received contributions from 25 national\(^2\) and 7 local governments, 3 Inter-Governmental Organizations (IGOs), as well as 11 other stakeholders (such as civil society organizations (CSOs), academia and business) to provide insights and direction for HFA2. Phase I consultations\(^3\) concluded that the HFA remains a valid guidance framework to be further reinforced and identified seven key areas to be considered in the Asia–Pacific region in HFA2. These reflect the issues raised at the 5\(^{th}\) AMCDRR in October 2012 and were endorsed by governments and partners at the ISDR Asia Partnership (IAP) meeting in November 2013.

Accordingly, Phase II consultations initiated in May 2013 explored seven broad key areas that emerged in Phase I. Detailed contributions on these key area topics were received from 16 national governments\(^4\), 4 local governments, 2 inter-Governmental Organizations and 25 other stakeholders. These, along with other inputs\(^5\) were analysed by different regional institutions to develop the seven key area papers\(^6\) that are listed below.

1. Building community resilience – turning vulnerability into resilience (IFRC 2014)
2. Sustainable development, climate change and disaster risk reduction integration (ADPC 2014)
3. Local level action towards disaster risk reduction (ADRRN 2014)
4. Women as a force in resilience building, gender equality in DRR (Duryog Nivaran 2014)
5. Reducing exposure and underlying risk factors (Kyoto University 2014)
6. Strengthening risk governance and accountability (ADRRN 2014)
7. Incentivising DRR in the private sector (ESCAP & ADPC 2014)

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\(^1\) United Nations General Assembly Resolution 66/199.
\(^2\) Afghanistan, Bangladesh, Cambodia, Cook Islands, Fiji, India, Japan, Kiribati, Korea, Maldives, the Marshall Islands, Micronesia Federated States of, Nauru, Nepal, Niue, Pakistan, Palau, Philippines, Samoa, Solomon Islands, Sri Lanka, Tonga, Tuvalu, Vanuatu, Viet Nam.
\(^4\) Afghanistan, Australia, Bangladesh, Bhutan, Cambodia, Fiji, India, Indonesia, Laos, the Maldives, Nepal, Pakistan, Sri Lanka, Thailand, Tonga and Viet Nam. This also includes regional reporting from the Pacific.
\(^5\) Such as the HFA Monitor Reports from Asia-Pacific (UNISDR 2013), the Global Assessment (Reports UNISDR 2011-13), the Asia-Pacific Disaster Reports (ESCAP & UNISDR 2010, 2012), AMCDRR outputs.
\(^6\) Available in the AMCDRR website http://6thamcdrr-thailand.net/6thamcdrr/Key-Documents
2. Learning from HFA

Considerable progress in disaster risk reduction (DRR) has been achieved in the Asia-Pacific region over the past 10 years resulting in a downward trend in mortality risk for weather related hazards. However, economic losses have been on an upward trajectory (ESCAP & UNISDR 2012). Much of this can be attributed to rapid economic growth and private and public investments in hazardous areas such as tsunami and cyclone prone coasts, flood exposed river basins and earthquake prone cities. This has contributed to the generation and accumulation of intensive risks in hazard exposed areas and increased risk of complex disasters. The transmission of these risks through global supply chains has affected businesses, governments and society. Extensive risks are also increasing due to poorly planned urban growth, risk insensitive development choices, environmental degradation, poverty, inequality and governance issues.

The HFA Monitor has been used as an important tool for collecting information to self-assess and provide means of verification to capture the progress and challenges of HFA implementation by nations. This process shows that Priority Area 1 of the HFA “Ensure that DRR is a national and local priority with a strong institutional basis for implementation” and Priority Area 5 “Strengthen disaster preparedness for effective response at all levels” have seen the most progress in the region, while there has been less progress in Priority Area 2 “Identify, assess and monitor disaster risks and enhance early warning”. Priority Area 3 “Use knowledge, innovation and education to build a culture of safety and resilience at all levels”, and Priority Area 4 “Reduce the underlying risk factors” have seen the least progress. Below is a brief summary of the trends in the Priority Areas seen through the HFA Monitoring process (UNISDR 2013).

Priority Area 1 “Ensure that DRR is a national and local priority with a strong institutional basis for implementation” has seen dedicated legislation and policies prepared and the establishment of institutions to reduce disaster risk. It has also seen increased devolution of authority to local levels and the establishment and use of National Platforms and multi-stakeholder platforms. Gaps remain in obtaining dedicated disaster risk reduction funding, in institutional capacity and in the translation of policies into action, particularly at the local level.

Priority Area 2 “Identify, assess and monitor disaster risks and enhance early warning”, has seen the establishment of tsunami, cyclone and other hydro-meteorological early warning systems, especially at the national level. The geographical coverage of regional multi-hazard early warning systems has increased. The focus now is on creating effective implementation of systems to the “last mile”, especially to include remote local communities. More work is still needed to address extensive risks and trans-boundary risks. Other tools, such as multi-hazard risk assessments and cost-benefit analysis are gaining momentum. Capacity and information is needed to guide these activities, with many countries noting that generating, sharing, managing and using data remains a complex task.

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7 “Intensive risk” describes the risk of high-severity, mid to low-frequency disasters, mainly associated with major hazards (UNISDR 2013b).
8 Disasters that are compounded by multiple natural hazards and/or technological hazards.
9 “Extensive risk” describes the risk of low-severity, high-frequency disasters, mainly but not exclusively associated with highly localized hazards (UNISDR 2013b).
10 Countries assess the progress by self-assessment in a scale of 1-5 for each of the five Priorities for Action and three strategic goals.
Priority Area 3 “Use knowledge, innovation and education to build a culture of safety and resilience at all levels” has seen improvements in information generation and dissemination, awareness raising and school education on disaster risk reduction. Commitment and actions to strengthen the resilience of schools and hospitals has been strong in a number of countries. Opportunities remain to build knowledge, capacities and tools to address complex tasks such as multi-hazard and vulnerability assessment, predictive risk modelling and cost-benefit analysis through tertiary education and stronger cooperation with academia.

The area of the HFA that has achieved the least progress is Priority 4 “Reduce the underlying risk factors”. Priority 4 saw cases of disaster risk reduction being mainstreamed into areas such as climate change and environmental protection legislation and projects to address specific vulnerability issues, with varying success. While some awareness of the inter-relationships between disaster and climate risks, vulnerability and development has been created, limited progress has been made in integrating disaster risk reduction into development policies and practices. Understanding and addressing the complex and inextricable linkages between vulnerability, social inclusion and equity and finding ways in which development interventions can be steered to safeguard economic assets from disasters appear to be challenging to many.

Priority Area 5 “Strengthen disaster preparedness for effective response at all levels” has seen considerable progress in the Asia-Pacific. Many countries identify improvements in their preparedness legislation and strategies and have response systems that connect at all levels as well as contingency plans, procedures and resources to deal with a major disaster. The increasing complexity of disasters, especially those that are cascading, technological or involve system failures, highlights the importance of maintaining readiness and capacity to mount effective disaster response. The increase in disaster related economic losses and the growing humanitarian impact, even after countries have implemented many components of the HFA reveal the need to shift from seeing disasters as external shocks to recognising risks inherent in development policies and practices.

At a practical level, this means dealing with the underlying risk factors, transforming development to manage risks, sustainably seizing opportunities to strengthen resilience, maintaining effective disaster response capacities and ensuring sustainable development. This will involve sound risk sensitive macroeconomic frameworks and policy choices, which consist of ex-ante disaster risk reduction and ex-post response, recovery and reconstruction to minimize disaster impacts. By shifting the focus to systematically addressing underlying risk drivers and strengthening resilience, a transformation towards risk-sensitive development is achievable. The seven key area papers prepared through the Phase II consultation process articulate this shift, and provide support to the ideas of risk prevention and the pursuit of development pathways that minimise disaster risk generation; actions to address accumulations of disaster risk; and to actions that assist nations and communities to absorb loss and damage, minimise disaster impact and bounce forward.
3. Analysis of HFA2 consultation inputs in the Asia-Pacific

The HFA2 consultations in the Asia-Pacific indicate that the overarching guiding principle for HFA2 should be: *Risk sensitive development as the cornerstone to build the resilience of nations and communities and achieve sustainability*. Building on the current HFA, consultations identified areas to consider further strengthening in HFA2 (in particular Priority for Action Areas 2, 3 and 4) and areas to promote continued efforts where progress has been more substantial (Priority Areas 1 and 5). The analyses of the consultations are presented under the following subheadings.

- Integrate sustainable development, disaster risk reduction and climate change
- Prioritize risk prevention and risk reduction
- Partner with the private sector
- Promote local level action
- Strengthen resilience to disasters
- Ensure social inclusion
- Strengthen the role of women
- Enhance risk governance and accountability
Integrate sustainable development, disaster risk reduction and climate change

The Asia-Pacific region is faced with high levels of risks from hazards, climate change and development challenges. The 4th and 5th sessions of the AMCDRR and Pacific Platforms for Disaster Risk Management in 2011 and 2012 thus explicitly called for mainstreaming of disaster risk reduction into climate change adaptation and development policies and planning. In this next phase of the HFA, it is important to go beyond mainstreaming, making integration of sustainable development, disaster risk reduction and climate change the development pathway. This is more relevant to the least developed countries (LDCs) and Small Island Developing States (SIDS) who are particularly vulnerable to both disaster and climate change.

A number of examples of mainstreaming disaster risk reduction into climate change work and development plans are cited in the reflection on the HFA. At the national level, many countries have set up mechanisms to deal with climate change and disaster risk and some have even prepared Joint National Action Plans to consolidate efforts. The Pacific region is in the process of preparing a Strategy for Climate and Disaster Resilient Development to integrate climate change and disaster risk management efforts. While progress is being made, much climate change and disaster risk reduction work still occurs independently of each other, and limited progress has been made in integrating disaster risk reduction and sustainable development efforts. Although there are a number of countries integrating disaster risk into development plans, more needs to be done to strengthen these policies and translate them into action.

The post-2015 setting provides a rare opportunity to strengthen the integration through building coherence of related international frameworks – the Post-2015 framework for disaster risk reduction, the Sustainable Development Goals (SDGs) and the UN Framework Convention on Climate Change (UNFCCC). Many consultative inputs commented on the need for convergence of these three closely interlinked frameworks. While a complete convergence may not be possible or necessary, a fundamental recognition of the need for integration, an interface in terms of broad outcomes and the use of shared terms, baselines, indicators and monitoring and reporting processes (e.g. through a post-2015 HFA Monitor system) would encourage governments to promote and support improved integration.

Integration needs to be supported at the highest political level for it to be successful. Integration can be limited by lack of policy coherence, weak governance, lack of capacity and limited financial resources. There are currently structural and procedural barriers that hinder integration, particularly where responsibilities are divided between different government bodies and where coordination is weak between the national and local branches of government. Achieving integration requires reforming institutions and procedures and strengthening institutional capacity across all government sectors and levels. The HFA promoted multi stakeholder National Platforms and other multi-stakeholder networks to bring government, non – government, the private sector and the scientific community together to focus on disaster risk reduction and to integrate their different efforts. Such mechanisms have the potential to bring about convergence of institutions working in silos. Functional linkages with key development sector ministries (e.g. Finance and Planning) and critical line departments (e.g. the department of roads and highways, energy, urban development, health and social welfare, water and irrigation, mining and tourism) need to be a focus, along with capacities and resources for integration and clear mandates for integrated planning and monitoring.
Integration requires countries to align sectoral development plans with disaster risk management plans. The key steps to integration include joint programming of activities and the alignment of donor funding streams. Decentralising and devolution of responsibilities to local governments can have a positive effect, provided constraints on funding and capacity at the local level are addressed. More on this can be found in the section on ‘Risk governance and accountability’. Tools for integration are already available and need to be better utilised. This includes disaster loss and damage databases, Environmental Impact Assessments, vulnerability mapping and social security schemes and disaster risk management check lists.

Integration at the policy and programming levels needs to be informed by well-developed and coordinated information. In many cases information generated for sustainable development (e.g. vulnerability maps), climate change (e.g. predictive climate models) and disaster risk reduction (e.g. risk maps) are not used in a coherent and holistic manner, nor made readily accessible. Overall, much information is not yet standardised, which makes the layering of data difficult. The use of different terminology between the topics further contributes to this information disconnect. If these issues can be addressed, strategies to enhance data sharing and unification can be more easily pursued.
**Prioritize risk prevention and risk reduction**

Policy and action need to prioritize the prevention of new climate and disaster risks and reduction of existing risks (UNISDR 2013). This is especially relevant to the Asia-Pacific region, where new risks are being created through rapid economic growth and urbanization.

New developments in sectors such as residential real estate and manufacturing are increasingly being located in high risk areas as land becomes more limited. Many are not resilient to the hazards they are exposed to, resulting in a backlog of unsafe construction, infrastructure and unplanned settlements. Building codes, zoning regulations and land use plans that incorporate specifications for mitigating hazards are developed, but these are only effective if they are understood, enforced and regularly revised using updated information.

Approximately 33 per cent of urban dwellers in the Asia-Pacific region live in slums (ESCAP & UNISDR 2012). Many of these settlements are located in unsafe fringe areas that expose large numbers of poor people to natural and technological hazards. Addressing the underlying issues associated with unplanned settlements need to be an emphasis, especially where there is large scale migration to the cities. This highlights the importance of understanding and addressing the underlying risk factors that lead to greater levels of vulnerability and poverty in the region. To strengthen resilience, HFA2 should consider the protection of livelihood assets, food security, social inclusion and local level action. The call therefore is for holistic risk management through risk sensitive development and “building back better” in disaster recovery and reconstruction.

The complexity and interconnectedness of risk is also on the rise. To prevent technological and cascading disasters (where one event causes another), it is important that the weaknesses of key infrastructure (e.g. bridges, electricity, schools and hospitals) is well understood and reflected in legislation (such as codes and standards) and investment decisions. New critical infrastructure needs to conform to legal standards that reflect the level of risk. High risk sites (e.g. due to chemical, biological, nuclear hazards) require the most detailed and regular assessment and must be made compliant with strict standards. The complex, trans-boundary nature of disaster risks also needs to be well understood. This requires regional and global cooperation to facilitate improved information generation and sharing on topics such as integrated river basin management and climatic regions.

Many countries identify the challenge of managing risk information and translating it into action. To bridge the gap between risk information and decision making, there needs to be better collaboration between scientists and decision-makers. All actors, including civil society, private sector, academia, the scientific community and citizens have a role to play in risk information generation and use. In achieving this, tertiary education plays an important role.

The HFA has seen some progress in the collection and use of risk information, but much more needs to be done. Opportunities exist for greater use of tools such as risk models and space technologies. Risk models can use the information from a range of sources to place the losses from past disasters into the context of future risks and examine risk more holistically, while space technologies can be particularly effective when assessing issues such as trans-boundary risks.
Partner with the private sector

The actions of the private sector have an important bearing in the success of risk reduction and risk prevention efforts. The investment decisions of the private sector can critically influence physical and economic risk. For example, while development of the Asia-Pacific region has reduced vulnerability, it has also contributed to the generation of new risks and increased exposure, for example, through new developments and urbanization in hazard-prone areas. In many cases, these investments do not take disaster risks into account. Engagement and partnership with the private sector is vital, not just to protect investments and economies from disruption and losses, but more importantly to create a safe and resilient society where the business operates.

Businesses are increasingly recognizing the significance of resilience to disaster and climate risk. Businesses are often affected both directly (e.g. through damage to infrastructure and stock), and indirectly, through reduced productivity (e.g. via damaged supply chains or employees absenteeism). These disruptions can have vital impacts on immediate and future profitability (e.g. due to loss of clients). Small and medium enterprises (SMEs) that are embedded in communities are particularly vulnerable to hazards. SMEs have less capacity to invest in disaster risk management and often struggle to survive after disaster. Therefore, SMEs need to be actively targeted in risk planning processes at local level and engaged in programmes to improve their resilience. To improve business resilience, public policy needs to create an enabling environment that supports and encourages SMEs to integrate disaster resilience into their business through processes such as business continuity planning.

The private sector’s engagement in HFA implementation so far has been limited. Over the coming years trillions of dollars of new business investments will continue to be made in hazard prone areas of the region. Such investments need to be made risk-sensitive on an urgent basis. Otherwise the impacts of disasters on economies in the region will continue to grow. Both the private and public sectors have a lot to lose from accumulating risks and depend on each-other to remain functioning during and post-disaster. HFA2 should encourage improved engagement and partnership of the private sector in risk prevention and reduction, disaster preparedness and recovery.

The HFA2 needs to call on businesses to become more resilient, responsible, and accountable. The importance of well-informed legislation such as land use plans and building codes to ensure risk sensitive investments is underscored. It should also promote the analysis of how economic incentives (e.g. tax) can be better used to promote disaster-resilient practices that have public benefits. Mechanisms that promote partnership with the private sector, such as National Platforms should be encouraged to create an open dialogue where opportunities (e.g. information sharing) and barriers (e.g. legislative and knowledge) to resilience can be explored and roles and responsibilities on both sides better articulated.

11 Losses from future earthquakes and cyclonic winds alone are estimated at USD$189 billion per year, with a bulk of this expected to come from the Asia-Pacific region (UNISDR 2013b).
While there are examples of public-private-partnerships (PPPs) and corporate-social responsibility (CSR) programmes, these need to be expanded. More need to be done to promote private sector partnerships with other stakeholders to address disaster risks and impacts. For example, the business community’s comparative advantage (e.g. logistics, procurement and community ties) could be better used in preparedness and response strategies of government and non-government actors.

In many Asia-Pacific countries, risk sharing mechanisms such as insurance and re-insurance remain minimal, with inadequate legal and institutional structures for disaster risk insurance and low insurance penetration, leaving a large section of the economy and the people unprotected. Even when they do exist, few governments have sound risk financing mechanisms that can take care of catastrophic risk financing liabilities, which means serious financial strain on government budgets, and diversion of funds from social development. A more concerted effort is required to improve risk financing in the region and to identify the appropriate roles for public and private sectors to deliver these services.
Promote local level action

Even though HFA emphasizes that disaster risk reduction is a national and a local priority, the achievements of the HFA largely remain at the national level. To address this, the consultations place a strong focus on translating national policies into local implementation. Strong local level institutions - urban and rural, with adequate authority, capacity and resources for implementation, legal oversight support, inclusive multi stakeholder participation in planning and decision making processes, clear accountability and transparency systems are identified as key for effective local level action.

Effective local approaches require better understanding of local risks, vulnerabilities and strengths. Local officials and practitioners need to be equipped with simplified and common terminology, practical tools and mechanisms for generating and using risk and vulnerability information. Special attention is required for systematic utilization of traditional knowledge and local coping mechanisms.

Strengthening the effectiveness of local institutions requires devolution of authority and improved governance structures between national and local levels, with adequate human resources and financial capacity, supported by enabling legislation and enforcement of standards for risk sensitive local development. Knowledge and awareness building of local officials, local government leaders, elected representatives and parliamentarians is a must for ensuring that risk sensitive local development strategies are supported with adequate legislation and enforcement.

Participation of communities, local action groups and local leaders are areas which showed slow progress in HFA. Pro-active engagement of youth groups, volunteer networks, women, elderly and all marginalized sections needs to be facilitated in safe environments through long term investments in building appropriate social and community institutional structures. This includes strengthening community-based social institutions and volunteer networks; local government-community partnerships; citizen awareness building and governance mechanisms with enhanced accountability. This is also a way for reinforcing and integrating diverse needs of various social groups and their traditional coping mechanisms into local development and disaster risk reduction strategies.

The consultations emphasize the importance of developing local level multi-stakeholder platforms, mirroring the national platforms promoted in HFA, as a mechanism to strengthen the interface between community, local government, local actors and networks. This should be developed with clear roles, responsibilities and governance arrangements.
Strengthen resilience to disasters

The HFA2 consultations point out the need for a shift in approach from reducing vulnerability to building resilience. In an age of climate change and increased complexity of disasters, resilience building is one vital and no-regrets strategy leading to sustainable development. The focus on resilience has emerged from experiences in disaster situations where resilient communities have been able to respond and recover from disasters more quickly and effectively. Key attributes of resilient communities include the ability to assess and manage its risk; preparedness to face threats and capacity to absorb shocks. Communities that exhibit strong social cohesion and connectivity with external agencies are more likely to be resilient and most likely to progress collectively to achieve sustainable development (IFRC 2012).

The consultations highlighted that a long term vision and strong leadership is required to ensure a resilience focus in the national and local development plans, which are supported by sustained resource allocation. Therefore, an institutionalized multi-sectoral approach of national and local programmes should replace the prevailing largely isolated, ad-hoc and project centred approach. Comprehensive risk assessments are the pre-conditions to determine national and local priorities and intervention strategies. Strongly underscored was the need for a community resilience framework to help identify barriers to resilience, prioritize actions and measure progress aligned with the sustainable development goals and their associated monitoring processes.

Resilience building strategies should address risk drivers encompassing livelihoods, food security and the natural environment as integral aspects. Social protection is one mechanism that can address the risk drivers and build resilience. Disaster and climate risk management needs to be integrated into social protection schemes and programmes to improve standards for safety, security, health and well-being. The same applies to poverty reduction initiatives such as employment guarantee schemes, conditional cash transfers, micro finance and insurance. A minimum ‘social protection floor’ to access essential services and income, including protection from the risks of disasters, is now being recognised as a universal human right that must be guaranteed to every individual (ILO 2011).

To build resilience, there is an urgent need to develop the capacities of the communities to undertake collective action to manage disaster and climate risk as part of their daily life. This includes capacity to respond effectively to emergencies. The response capacity of local institutions is equally important. When critical needs are addressed with prompt delivery of humanitarian assistance, communities are well placed to support efforts to restore livelihoods and safeguard coping mechanisms. This is especially important for communities facing smaller but recurrent disasters (i.e. extensive risks).
**Ensure social inclusion**

Resilience building requires genuine participation of various segments of communities. The HFA2 consultations highlight the need for greater inclusivity, especially of groups with less power and who have been traditionally marginalized due to social, political and physical reasons. This includes women, youth, children, the elderly and persons with disabilities. Often, these groups have limited opportunities and voice in decision making and their capacity to strengthen resilience is undermined. While all these groups fall into a common ‘marginalized category’, the factors of marginalization vary and intersect, therefore require careful consideration. For example, a person can be excluded and vulnerable due to a combination of factors (e.g. girl child/woman/older person with a disability).

Inclusion of all socio-cultural groups is vital for ensuring that their specific needs and concerns are duly incorporated. Inclusion efforts need to have programmes to support their productive contribution, create an enabling environment and encourage collective action. The strong position expressed by the groups who are identified as specifically vulnerable is, for HFA2 to turn ‘vulnerability into capacity’, moving away from identifying vulnerable groups as ‘victims’.

Existing mechanisms, such as community based disaster risk management (CBDRM) provide a good example of how the principles of ‘inclusiveness’ can be realized. To do this, it would require specific financing at the local level; enhancing institutional and individual capacities and creating safe and open environments for dialogue. Institutionalizing CBDRM needs to go hand in hand with a political commitment to decentralization. Volunteerism is proposed as one effective way of promoting inclusion, especially of young women and men.

Age is one key factor of vulnerability and marginalization. Persons under 14 years constitute 25.3 per cent of the population in the Asia-Pacific region. Depending on their age, children depend on adults for care, protection and support. However, during and post-disaster, where support systems may be fractured, children are more exposed to sexual and other forms of abuse, trafficking, exploitation, violence and denial of their basic rights to education, safety and participation. This can lead to long term impacts on their intellectual, psychological and cognitive development. These issues must be addressed to enhance a child’s quality of life and their potential to contribute to social and economic development and resilience building.

Seven per cent of people of the Asia-Pacific are over 65 years of age. This is projected to more than double by 2025, with a higher ratio of women due to their longer life expectancy and proportion in rural areas. The implications of these demographic changes need to be addressed in disaster risk reduction and resilience building efforts. Facilitation of care (e.g. shelter, mobility and medicinal needs) and the engagement and contributions of older persons in disaster risk reduction is flagged in international declarations. The resourcefulness of the elderly include their knowledge, experience and coping strategies in regular recurring risk scenarios and their vital roles as carers of children and income earners.
Persons with disabilities constitute 4 per cent of the population in the region. Their specific concerns in facing and coping with disaster risk as well as the productive role they are capable of playing in the economy and society need to be taken into account. The considerable number of people with disabilities with less education and denied participation in community and family activities due to social stigma should be addressed. Efforts for awareness and capacity building and support to participation are required to better engage people with disabilities, if overall resilience is to be improved.

Any inclusivity strategies in HFA2 should be based on the UN’s Guiding Principles on Extreme Poverty and Human Rights that recognize that vulnerability is a structural issue and call for a transformation of those economic, social and cultural practices that perpetuate it. In particular it is important that these principles are applied in the context of risk prevention and risk reduction and incorporated into all policy and legislation that enables and holds actors accountable.
Strengthen the role of women

Though the call for inclusivity covers the need to include women, it would seem from the consultations that there is a requirement for a clear, stand-alone message that suggests that the inclusion of women (half the world’s population, 49 per cent in Asia-Pacific and more than half in some countries in Asia)\(^2\) must be given specific focus so that the under-utilisation of women’s potential towards resilience building of communities and nations is addressed.

Gender-based social, economic and cultural constructs marginalize women across all community groups irrespective of class, caste, economic standing, status, ethnicity and age. In the Asia-Pacific region deeply entrenched attitudes of patriarchy discriminate against women and make them differently vulnerable to disaster risk in comparison to men within the same social groups. Such vulnerabilities are reflected in terms of higher female mortalities, injuries, sexual and other forms of violence.

Rooted patriarchal values and perceptions prevalent in the region often extend to formal decision making and governance structures resulting in discriminatory property inheritance and ownership laws, access to education, information, opportunities of skills and capacity development. Varying social practices such as lower value placed on girl child, restrictions on mobility for women and girls, high risk of getting raped, sexually abused, and observance of ‘veil’ act as barriers to limit women’s participation, women’s assumption of leadership roles and their social and economic productivity. These biases act to maintain women’s role as producers, natural resource users and managers at far below the optimum levels.

For women’s role in disaster risk reduction to be strengthened, it is important to address the issues that are particularly severe for women such as limitations of access, participation and contribution and gender-based violence. It is important that planning, investment and implementation is informed by sex and age disaggregated data and gender analysis at every level, and that resourcing, and budgeting for actions that include women and promote gender equality are developed.

Interventions need to recognize that inclusion of women and girls require specific measures to address the diverse social and cultural aspects and the related issues of mobility and access that arise from existing gender based roles and identities. This includes measures to build the confidence of women themselves, and awareness of men in the community. It means ensuring women’s rights are upheld as in the international agreements such as Human Rights Convention and CEDAW, providing women with non-traditional skills and including women in decision making positions that will support social acceptance of women’s role.

The focus on women in disaster risk reduction cannot and should not happen independently of other processes. It is important that the above actions are mainstreamed into the DRR, climate change and development integration at national and local levels, in strengthening local level action and in setting up accountability mechanisms at all levels. This would require ensuring that women are represented/targeted in different points of the processes (e.g. in risk information and other data gathering processes, in the information dissemination, in the technology transfer processes).

Accordingly, the call for HFA2 is to make inclusion of women and gender equality an integral and a central criterion in moving towards the mutually supportive strategic goals advanced in the HFA2, as opposed to the cross cutting approach adopted in HFA. For measuring progress of the outcomes, it is proposed to agree on a common or complementary set of goals, targets and indicators within the overall governance and accountability framework aligned with the global and national commitments to the CEDAW and Beijing Platform for Action.

\(^2\) Women outnumber men around the world as they have greater life expectancy, but in the Asia-Pacific men outnumber women with 44 million ‘women missing’ in the region. This is primarily due to the strong preference of male child that has encouraged the practices of sex selection in birth, female infanticide and neglect of girl child.
**Enhance risk governance and accountability**

Ensuring strong risk governance and accountability mechanisms is essential to take disaster risk reduction to the next level. Risk governance is the way in which national and sub national actors coordinate their actions to manage and reduce disaster and climate risks. Effective risk governance provides an enabling environment for resilience building through well-coordinated political, economic, and administrative aspects to ensure risk-informed development policies and resource allocation for policy implementation (UNISDR 2013d).

Specific governance challenges experienced during the HFA include the translation of national policies into action, especially at the local level, addressing disaster risk in development planning and implementation, addressing trans-boundary risks and effective enforcement of legislation and regulations. Governance reforms are needed across all the sectors that have a role in risk reduction.

Effective risk governance consists of coherent policies, functional institutions, legislation, regulations and effective legislative oversight. It also promotes the application of universal principles of transparency, accountability, inclusion and citizen empowerment. Such a governance system will allow for better coordination, information sharing, capacity-building and resource allocation while embracing the application of transparency and accountability and inclusion and empowerment in policy and decision making.

Disaster risk reduction being a cross cutting development issue requires the engagement of many institutions operating at different levels. Ensuring policy coherence between sustainable development, climate change and disaster reduction and setting clear mandates and responsibilities of the institutions at different levels therefore becomes critically important. This is seen as a way for enabling better coordination and at the same time setting boundaries for the many institutions involved.

Adequate authority, access to resources and technical and managerial capacity of local level institutions are noted as important aspects for building stronger linkages between national and local governments, aligning national policies with the local needs and ensuring local actions. Enhanced local authority can better support functions such as land use planning, construction safety compliance and social safety mechanisms, taking the specific local needs, hazards and vulnerabilities into account to achieve risk sensitive development.

HFA2 is called to reinforce the accountabilities of all public and private sector entities to assume their full responsibility to achieve disaster and climate risk management outcomes and to be answerable for the disaster risk and impact as the consequences of the policies, decisions, and actions they make. The consultations make specific reference to ‘two way accountabilities’ between government and citizens and suggest social accountability mechanisms as pathways for improved governance, development effectiveness and empowerment of citizens. Creating participatory spaces through partnerships and community engagement strategies is key for citizens to assume their responsibilities and to hold local authorities accountable. The vital importance of adequate legal support, rights and access to information, transparent communications and inclusive policies for citizen empowerment has been emphasized.
Strengthened legislation and regulatory frameworks are proposed as key instruments to hold all parties accountable for risk sensitive development, to ensure that the integration of disaster risk reduction into development planning and programmes is made into a legal obligation. Legislative oversight including parliamentary oversight is critical for enforcement of legislation and for strengthening accountabilities of government and all other actors. Legislation should put emphasis on accountability and transparency principles and law implementation guidelines should include a clear set of measures to reinforce these principles such as public awareness, public access to information, social auditing as a legal obligation of authorities, and the role of media and participation of civil organizations in oversight.

Accountability cannot exist without clearly defined monitoring, reporting and review systems, which underscore performance. Consultations emphasize the need for clear targets and indicators in HFA2 which drive and hold actors accountable for disaster and climate risk management actions that will achieve the ultimate outcomes of people’s well-being and sustainable development. To this end, coherence of the targets and indicators with the Sustainable Development Goals and harmonization of monitoring and review mechanisms, especially at the national level, is of significance.
4. Recommendations

These recommendations are primarily aimed at the HFA2 process, building on the observed achievements and gaps in the implementation of the 5 Priorities for Action of HFA. While these recommendations are aimed at governments, the essential role that other actors have (e.g. civil society organizations, the United Nations, the private sector, community groups, academia and the scientific community) in supporting governments to achieve targets and goals is strongly recognized.

1. Develop and implement strategies for integration of disaster risk reduction, climate change and sustainable development
   - Standardize disaster risk reduction-climate change and sustainable development terminologies, data and information
   - Develop strategies and mechanisms for information and data sharing across development sectors at the regional, national and local levels
   - Strengthen the mandate of multi-stakeholder platforms for disaster risk reduction (national and local) and promote increased engagement and role for the Finance and Planning Ministries
   - Strengthen the coordination and implementation mechanisms and capacities of the key development sector ministries/departments to enable integrated implementation
   - Agree on baseline and common/coherent targets and indicators for measuring progress on the three sectors and link monitoring at the national and inter-governmental level with international frameworks (HFA2, UNFCCC and SDGs)

2. Prevent and reduce risks
   - Ensure that all disaster related legislation is understood and enforced (e.g. environmental resource management legislation, land use planning, building codes and other structural standards)
   - Prevent the generation and accumulation of new risks by ensuring regulations are enforced in new developments and address the underlying issues associated with unplanned settlements with specific focus on the urban context.
   - Reinforce disaster and climate risk-sensitive planning, implementation and monitoring at all levels as central to development by:
     • Mandating the use of disaster, climate risk and socio economic information in the preparation and review of all national and local development plans, projects
     • Reviewing and improving the use of tools such as land use planning and Environmental Impact Assessments (EIA), Disaster Impact Assessments (DIA) in development planning, monitoring and evaluation at all levels
   - Strengthen disaster response capacities
   - Bring stronger focus on risk prevention, risk reduction and resilience building in post disaster recovery and reconstruction by supporting principles and practice of ‘Building Back Better’
3. Strengthen risk information and knowledge
   - Collect, analyze and use risk information in decision making. This should include:
     • Collection and analysis of hazard, risk, vulnerability information for natural, system, technological, human induced and critical infrastructure risks.
     • Mandatory collection and analysis of sex, age and disability disaggregated data to increase knowledge and understanding of the underlying risks and social vulnerabilities and to address them in the development and disaster risk reduction planning and implementation processes
     • Use both historical loss and damage data and future scenarios tools such as risk models to inform development planning at national and local levels
   - Assess systemic risks to address the potential causes of cascading disasters
   - Use new technologies and techniques in risk assessment activities such as risk modeling and space technologies
   - Strengthen collaboration with science, research and academia, to build capacity of decision makers through tertiary education
   - Strengthen capacities of the national and local governments for information generation, analysis and application for planning and implementation
   - Provide guidance for research and support in risk and vulnerability information generation and sharing (with tools, methodologies)
   - Strengthen regional cooperation mechanisms for data and information sharing

4. Partner with the private sector to prevent and reduce risks
   - Identify opportunities and barriers for improved collaboration and partnership between public and private sectors (e.g. through CSR and PPPs)
   - Strengthen incentives and regulations to facilitate disaster resilient private investment and improved business resilience (e.g. tax incentives, building codes, land use planning)
   - Engage large, medium and small businesses in a dialogue on risk management to share knowledge and information, build capacity, and identify opportunities for building resilience via mechanisms such as National Platforms
   - Build capacity of SMEs to increase their business resilience
   - Identify ways of strengthening and promoting the adoption and use of tools such as risk transfer and risk insurance

5. Strengthen local level action and ensure social inclusion
   - Improve implementation at the local level through:
     • Ensuring national development and disaster risk reduction policies and laws are connected to provincial and local levels
     • Prioritizing the enforcement of regulations
     • Strengthening knowledge of local risk, vulnerability and capacities, including traditional knowledge and coping mechanisms
     • Focusing on specific and systemic aspects of risk and vulnerabilities in urban environments, including critical infrastructure
   - Build the capacity of the local government officials for risk sensitive planning and implementation, ensure the inclusion of women officials
   - Promote inclusion and participation in risk reduction activities and processes, particularly of groups historically marginalized (women, youth, children, elderly, people with disabilities) through:
     • targeted capacity building
     • providing safe spaces for open and honest interaction
     • strengthening the role and utilization of community groups, partnerships and volunteer networks
6. **Strengthen measures for achieving resilience to disasters**
   - Focus on and support long term risk sensitive national and local development plans for systematic and sustained resilience building
   - Ensure that chronic risks such as food and livelihood security, health are addressed in national and local development plans
   - Strengthen community- local government partnerships, volunteerism and local level multi-stakeholder platforms
   - Strengthen community based disaster risk reduction for supporting social inclusion and ownership
   - Use national and local social safety mechanisms to increase resilience of the most vulnerable groups
   - Develop community resilience framework, common definitions and indicators to measure community resilience

7. **Strengthen the role of women**
   - Make use of sex and age disaggregated data and gender analysis as a mandatory planning tool for developing local and national development and disaster risk reduction plans.
   - Strengthen awareness, skills, availability of tools and finances for inclusion of women and gender integration in the regular development planning, implementation and monitoring systems.
   - Mandate the participation of women and women’s groups in decision making positions in planning and implementation
   - Strengthen national and local policies, legislation and regulatory frameworks in support of gender equality, women’s empowerment and protection from violence as stipulated within the global agreements for gender equality.
   - Develop targets and indicators to measure progress on inclusion of women and gender equality in disaster risk reduction and to measure women’s resilience with the progress measure systems of the Sustainable Development Goals and climate change.

8. **Enhance risk governance and accountability**
   - Develop clear accountability mechanisms across development and disaster risk reduction sectors (horizontally) and from the local to the national governments (vertically). These should be supported by:
     - Clear delegation of authority between different levels of governance, including the local level
     - Regular, adequate and reliable budgetary allocations to the local levels
     - Technical capacities for planning and implementation at all levels
   - Increase awareness of the public on the rights to risk information, to “protection of persons in the events of disasters” and to hold the local and national governments accountable for effective risk management
   - Develop indicators to measure the effectiveness of DRR, climate change and Sustainable Development Goals integrated approach towards resilience building with reference to different community groups (e.g. women, children, youth, persons with disabilities and other specifically vulnerable groups).
   - Include disaster and climate risk aspects in the development sector laws as a measure of accountability for integration and strengthen the legislative oversight functions
   - Develop monitoring and reporting mechanisms, based on established terms of reference, targets and indicators for each level of governance
5. References

- Asian Disaster Preparedness Centre (ADPC) (2014) *Sustainable Development, Climate Change and Disaster Risk Reduction Integration, HFA 2, Key Area 2.* http://6thamcdrr-thailand.net/6thamcdrr/Key-Documents
- Economic and Social Commission for Asia and the Pacific (ESCAP) and Asian Disaster Preparedness Centre (ADPC) (2014) *Inputs to HFA2, Key Area 7 – Engaging Asia Pacific Businesses in Disaster Risk Management.* http://6thamcdrr-thailand.net/6thamcdrr/Key-Documents
- International Federation of Red Cross and Crescent Societies (IFRC) (2014) *Key Area 1 Report: Building Community Resilience.* http://6thamcdrr-thailand.net/6thamcdrr/Key-Documents
Annex 1

Asia-Pacific Input Document for the
Post-2015 Framework for Disaster Risk Reduction (HFA2)

An Overview of the Consultation Process

1. This final draft of the “Asia-Pacific Input Document for the Post-2015 Framework for Disaster Risk Reduction (HFA2)” captures the inputs from the consultations and evidence gathered in the region over a two year period, following the global launch of consultations in March 2012.

2. The consultation process, facilitated by the United Nations Office for Disaster Risk Reduction (UNISDR) as requested by the United Nations General Assembly Resolution 66/199, is based on the principles of: inclusive - reaching out to the governments and all stakeholders; bottom-up – promoting local and national level consultations; integrated - into sector and thematic discussions, especially with the processes to prepare the post-2015 development agenda and Sustainable Development Goals, Conference of the Parties of the United Nations Framework Convention on Climate Change (UNFCCC-COPs).

3. During the Phase I consultation process (March 2012 – May 2013), 25 national governments, 7 local governments, 3 Inter-Governmental Organizations and 11 stakeholder groups (e.g. civil society organizations, academia, business) from the Asia-Pacific provided inputs, raising broad issues to be addressed in the HFA2 reflecting on the learning from the HFA implementation.

4. These include consultations held at the 5th Asian Ministerial Conference on Disaster Risk Reduction (5AMCDRR) in Yogyakarta, Indonesia in October 2012. The Yogyakarta Declaration called on all governments and all disaster risk reduction stakeholders to provide inputs to the HFA2 and to mainstream DRR into the post-2015 development agenda.

5. ISDR Asia Partnership (IAP), as the regional coordination mechanism for Disaster Risk Reduction provided a platform for the consultations in the region. IAP is the mechanism that brings the governments and stakeholders in the region together to provide technical and operational support to the Asian Ministerial Conference on Disaster Risk Reduction (AMCDRR) as adopted at the 2nd AMCDRR in Delhi in 2007. The Delhi Declaration recognized the AMCDRR as the Regional Platform for Disaster Risk Reduction in Asia.

6. Phase I consultations confirmed that the HFA remains a valid guidance framework to be further reinforced, and emphasized the need for HFA2 to “identify accountability measures for more effective implementation, political commitment to deliver at all levels, awareness, education and public access to information, improved governance, the promotion of resilient investments, and the allocation of resources especially to build local capacity; and promote a bottom-up approach” – Yogyakarta Declaration.

7. Phase I consultation inputs were summarized in the “Asia-Pacific Synthesis Report: Consultations on the Post-2015 Framework for Disaster Risk Reduction”. A draft of this report was discussed and further inputs were obtained in April 2013 at the ISDR Asia Partnership (IAP) meeting. The final report was presented at the 4th Session of the Global Platform on DRR in May 2013 as contribution from the region and served as a reference for the governments and stakeholders from the region to contribute to the global deliberations.

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1 Afghanistan, Bangladesh, Cambodia, Cook Islands, Fiji, India, Japan, Kiribati, Korea, Maldives, the Marshall Islands, Micronesia Federated States of, Nauru, Nepal, Niue, Pakistan, Palau, Philippines, Samoa, Solomon Islands, Sri Lanka, Tonga, Tuvalu, Vanuatu, Viet Nam.
2 See reports of all Phase I consultations at http://www.preventionweb.net/posthfa/consultation-process
3 The Delhi Declaration of 2007 http://nidm.gov.in/amcdrr/declaration.asp
4 http://5thamcdrr-indonesia.net/yogyakarta-declaration-2012
5 http://www.unisdr.org/we/inform/publications/33369
Phase I consultations highlighted seven broad key areas to build on in a post-2015 framework. These are: 1) Building community resilience – turning vulnerability into resilience, 2) Sustainable development, climate change and disaster risk reduction integration, 3) Local level action, 4) Women as a force in resilience building, gender equality in DRR, 5) Reducing exposure and underlying risk factors, 6) Strengthening risk governance and accountability and 7) Incentivizing DRR in the private sector.

The global Phase II consultations (May 2013 – present) aim to explore the broad issues raised in Phase I to identify specific recommendations on What are the priority actions to be undertaken in HFA2, How to implement them, by Who and with What targets and indicators to underpin accountabilities.

Accordingly, UNISDR Asia-Pacific prepared a scoping paper for Phase II consultations in the Asia-Pacific Region, to further explore the seven broad key areas. The scoping paper was discussed and endorsed at the Executive Committee of the 6th AMCDRR in August 2013 and subsequently at the IAP meeting in November 2013, to call for contributions from the governments and stakeholders.

Using the detailed contributions from 16 national governments, 4 local governments, 2 IGOs, a regional paper from the Pacific and 25 other stakeholder groups, seven key area papers were developed by regional institutions. The papers also incorporated extensive inputs from many national and local governments and communities who participated in surveys and consultation workshops organized by the regional institutions as part of the HFA2 consultation process.

The scoping paper, all contributions and drafts of the seven key area papers were made publicly available. The seven key area papers were peer-reviewed by a total of 25 experts including a number of national governments before finalization.

The draft “Asia-Pacific input document for the post-2015 framework for DRR (HFA2)” was prepared with the consultation inputs captured in these seven key area papers, along with other inputs. The first draft was circulated to all IAP members and discussed at the IAP meeting held in April 2014.

This final draft document has incorporated feedback from 7 peer reviewers, including national governments, recommendations of the IAP meeting and comments from 21 organizations, academia and individuals.

The final draft of the Asia-Pacific Input Document for the Post-2015 Framework for Disaster Risk Reduction (HFA2) will serve as the basis for the deliberations on the HFA2 at the 6th AMCDRR. Being an integral part of the Bangkok Declaration, its final version will form an important outcome of the Asia Regional Platform on DRR, whose deliberations “provide critical contribution to the consultations on the post-2015 framework for disaster risk reduction” as highlighted by the United Nations General Assembly Resolution A/RES/68/211. The Resolution also emphasizes “the importance of regional coordination in the framework of the preparatory process in order to promote broad participation in the Third World Conference on Disaster Risk Reduction” including the Preparatory Committee meetings.

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6 Aghanistan, Australia, Bangladesh, Bhutan, Cambodia, Fiji, India, Indonesia, Pakistan, Laos, Maldives, Nepal, Sri Lanka, Thailand, Tonga and Viet Nam. This also includes regional reporting from the Pacific.
7 These institutions are: IFRC, ADPC, ADRRN, Duryog Nivaran, Kyoto University and ESCAP
8 http://www.unisdr-apps.net/confluence/display/AMCDRR/6+AMCDRR-TS+Leads+and+HFA2+Area+Coordinators’ +workspace
9 Such as the HFA Monitor Reports from Asia-Pacific (UNISDR 2013), the Global Assessment Report (UNISDR 2013b), the Asia-Pacific Disaster Reports (ESCAP & UNISDR 2012), AMCDRR outputs and HFA2 guidance notes
10 Available on the 6thAMCDRR website http://6thamcdrr-thailand.net/6thamcdrr/Key-Documents